



AASHTO's NATIONAL TRANSPORTATION PRODUCT EVALUATION PROGRAM (NTPEP)

Information and Operations Guide
Date of Last Revision: September 29, 2021

Foreword

The purpose of this guide is to provide members of the National Transportation Product Evaluation Program (NTPEP) a concise description of AASHTO, and NTPEP's role within the organization. It is also designed to explain policies and procedures that have evolved from operational experience.

This guide may be reviewed and updated periodically at the discretion of the Steering Committee or Program Director for NTPEP. Annual review of policies and procedures may result in updates to this guide.

Table of Contents

1.0 DESCRIPTION OF AASHTO, Council on Highways and Streets, and NTPEP

- 1.1 WHAT IS AASHTO?
- 1.2 WHAT IS the Council on Highways and Streets?
- 1.3 WHAT IS NTPEP?
 - 1.3.1 NTPEP Product Evaluation Process
 - 1.3.2 NTPEP Product Testing/Assessment
 - 1.3.3 NTPEP Audit Program

2.0 NTPEP ORGANIZATION

- 2.1 NTPEP Staff
 - 2.1.1 NTPEP Program Director
 - 2.1.2 Associate Program Managers
 - 2.1.3 NTPEP Manufacturing Auditor
 - 2.1.4 NTPEP Technical Committee Liaison
 - 2.1.5 Administrative Coordinator
 - 2.1.6 NTPEP Implementation Analyst
 - 2.1.7 NTPEP Program Specialist

2.2 NTPEP Committee

- 2.2.1 NTPEP Committee Chair
- 2.2.2 NTPEP Committee Vice-Chair
- 2.2.3 Meetings

2.3 Steering Committee

- 2.3.1 NTPEP Regional Representatives
- 2.3.2 Membership
- 2.3.3 Appeals Board

2.4 Technical Committees (TC)

- 2.4.1 Membership
- 2.4.2 Technical Committee Chair
- 2.4.3 Technical Committee Vice-Chair
- 2.4.4 Responsibilities of TC Officers and Members
- 2.4.5 Member Agencies
- 2.4.6 Industry Representatives
- 2.4.7 Meetings
- 2.4.8 Participation
- 2.4.9 Technical Committee Documents and Responsibilities
 - 2.4.9.1 Work Plans
 - 2.4.9.2 Product Sampling & Shipping Requirements
 - 2.4.9.3 Scheduling of Testing and Timely Release of Data

- 2.4.9.4 Reports
- 2.4.9.5 Approval of Reports
- 2.4.9.6 Appeals
- 2.4.9.7 Responding to Inquiries

2.5 UP3 Technical Committee

- 2.5.1 UP3 Council
 - 2.5.1.1 UP3 Council Chair
 - 2.5.1.2 UP3 Council Vice-Chair
 - 2.5.1.3 UP3 Council Regional Representative
 - 2.5.1.4 UP3 Council Decisions
 - 2.5.1.5 UP3 Council Meetings
 - 2.5.1.6 Communication with the UP3 Council and/or Testing Laboratory
 - 2.5.1.7 State Product Coordinators
- 2.5.2 UP3 Website
 - 2.5.2.1 Applicability
- 2.5.3 UP3 Evaluation Review Process
 - 2.5.3.1 Stage 1: Detail Product Evaluation Application Submittal and Processing
 - 2.5.3.2 Stage 2: Initial Product Evaluation Request
 - 2.5.3.3 Stage 3: Posting of Evaluation Data
 - 2.5.3.4 Stage 4: Product Certification Request

3.0 ANNUAL NTPEP PROGRAM MANAGEMENT

- 3.1 Review and Assessment
- 3.2 NTPEP Annual Meeting
 - 3.2.1 NTPEP Program Report
 - 3.2.2 Resolutions
 - 3.2.3 Sponsorship
- 3.3 New Products' Categories for Evaluation and Assessment of Existing Technical Committees
- 3.4 Research Needs

APPENDICES AND ANNEXES

- Appendix A: AASHTO Organizational Charts
- Appendix B: AASHTO Regions
- Appendix C: Appeals Board Process
- Appendix D: Technical Committee Scopes
- Appendix E: Established NTPEP Policies
- Appendix F: Introduction & Development of a New Product Category and Assessment of Existing TCs
- Appendix G: Standard Operating Procedure for Receiving and Distributing Research Proposals
- Appendix H: General Terms and Conditions Applicable to All Technical Committees
- Appendix I: UP3 Evaluation Review Process Flowchart

Annex I: Defining “Retest” Requirement and Recommended “Re-evaluation”

Annex II: Manufacturer Request to Visit Field Site or Test Deck

Annex III: NTPEP Succession Plan

Annex IV: Onboarding Procedure for Technical Committee (TC) Members

Annex V: Process for Implementing Product Category Suggestions

Annex VI: Procedure for Completing Wellness Checks for Technical Committees

1.0 DESCRIPTION OF AASHTO, Council on Highways and Streets, and NTPEP

1.1 WHAT IS AASHTO?

Founded in 1914, AASHTO is legally an incorporated, nonprofit, and nonpartisan association representing the member highway and transportation departments in the 50 States, the District of Columbia and Puerto Rico. Its purpose is to foster the development, operation, and maintenance of an integrated national transportation system. The primary work of AASHTO is technical, including developing and maintaining voluntary standards and guidelines for the design, construction, maintenance, and operation of transportation facilities.

Membership in AASHTO is agency based, meaning only government agencies can belong. AASHTO's Board of Directors adopts official positions on legislative proposals, develops official policy statements, establishes membership dues, establishes standing and special committees and subcommittees, and decides all other policy matters relating to the operation or activities of the Association, including the adoption of voluntary standards.

AASHTO's Council on Highways and Streets is the foundation for the AASHTO transportation family – AASHTO started as a highways and roads association. Today the Council on Highways and Streets provides and represents the technical expertise of AASHTO. The dedication to improving our highways' design, construction, maintenance, operations, standards, traffic devices, and materials is shown in the shared goals and activities of the Council on Highways and Streets and its subgroups. The council's extensive work includes active participation in many areas such as the development of guidelines for design, product evaluation, specification standards for construction and maintenance, security provisions, and many more.

One of the technical service programs of AASHTO is NTPEP, which is funded by voluntary contributions from member agencies. NTPEP provides a source of independent data for many products that are used nationally for construction and maintenance of our infrastructure. NTPEP also conducts audits at manufacturing facilities, which in turn provides an audit report to the AASHTO member departments. An organizational chart is provided in Appendix A to depict how NTPEP fits into the structure of AASHTO.

1.2 What is the Council on Highways and Streets?

The Council on Highways and Street shall address issues related to highway and street planning, design, construction, operation, and maintenance, and shall provide input on related policy issues and cross-cutting/multimodal issues to the Transportation Policy Forum. The Council shall provide direction and assignments to the Committees on issues related to highways and streets. The Council will also review and approve applicable technical documents on behalf of the association, including engineering standards and guides related to all phases of project delivery, maintenance, operations, safety, and materials. The Council shall promote and encourage technology and knowledge transfer by member states, and shall make recommendations regarding needed research. The Council shall review and provide input on proposed federal regulatory mandates of national concern, and identify key policy areas for review and discussion by the Transportation Policy Forum.

1.3 WHAT IS NTPEP?

NTPEP was established in 1994 as a Technical Service Program which reports to the Council on Highways and Streets. The program combines the professional and physical resources of the AASHTO member departments in order to evaluate materials, products, and devices of common interest for use in highway and bridge construction. The primary goals of the program are to provide cost-effective evaluations for the state DOTs by eliminating duplication of testing and auditing by the states and duplication of effort by the manufacturers that provide products for evaluation. As a liaison to the AASHTO Committee on Materials and Pavements (COMP), NTPEP supports the highway materials functions of these committees.

1.3.1 NTPEP Product Evaluation Process

The Technical Committees determine the process by which a product is evaluated by NTPEP. Products may be evaluated through testing/assessments of product samples, audits performed at the product manufacturer, or a combination of both.

1.3.2 NTPEP Product Testing/Assessment

Samples of a manufacturer's products are tested and/or assessed according to the work plan. These results are posted on the NTPEP DataMine Website (<http://data.ntpep.org>) for use by the member agency. NTPEP testing/assessments do not imply *acceptance* of the product. Acceptance is the responsibility of the member agency.

1.3.3 NTPEP Audit Program

Audits are performed at the product manufacturer's facility and encompass a detailed review of the quality management system, production process, and testing capabilities (NOTE: Audits are not to be considered *inspections*, which are the responsibility of the member agency). The results of the audit are posted on the

NTPEP DataMine Website in the form of reports for use by the member agency. NTPEP audits do not imply *acceptance* of the product. Acceptance is the responsibility of the member agency.

2.0 NTPEP ORGANIZATION

NTPEP is comprised of the NTPEP Staff, NTPEP Committee, Steering Committee (SC) and the Technical Committees (TC).

2.1 NTPEP STAFF

The NTPEP Staff consists of the NTPEP Program Director, Associate Program Managers, NTPEP Implementation Analyst, Program Specialist, Manufacturing Auditors, Technical Committee Liaisons, and an Administrative Coordinator.

2.1.1 NTPEP Program Director

The NTPEP Program Director is responsible for the management and overall direction of the program. The director ensures sufficient and knowledgeable staff personnel are assigned as liaisons to Technical Committees and prepares and oversees an annual operating budget for the program.

2.1.2 Associate Program Manager

In coordination with the NTPEP Program Director, the Associate Program Manager oversees the administration and management of tasks associated with the NTPEP audit and product evaluation programs, and as needed performs duties in support of the Committee on Materials and Pavements (COMP). In overseeing the NTPEP audit and product evaluation programs, tasks include: Oversee the implementation of new programs and administer current audit and evaluation programs; Communicate with manufacturers interested in participating in NTPEP along with reviewing and accepting applications in DataMine; Administer third party laboratory contracts associated with audit and product evaluation programs; Monitor any changes in specification or work plan requirements and revise current program documentation as needed; Assist with enhancements and additions to the DataMine website; Provide support to NTPEP technical committees as a NTPEP Liaison; Help plan and participate in the annual NTPEP meeting; Works with the Program Director on tasks associated with overall NTPEP improvements and outreach; The incumbent is responsible for overseeing contractors performing NTPEP audits as needed.

2.1.3 NTPEP Manufacturing Auditor

Each NTPEP Manufacturing Auditor is responsible for conducting on-site audits of production facilities on behalf of the NTPEP Audit Program.

NTPEP Manufacturing Auditors shall, at a minimum, have completed a course of study in science, technology, or engineering, and possess a Bachelor of Science degree. They shall complete comprehensive internal training with AASHTO Staff covering the fundamentals of NTPEP, the audit program, in-depth information about the materials being audited, DataMine, and travel policies. In addition to internal training, the Auditor-in-training shall go in the field for a series (minimum of three audits/product) of observational audits, shadowing his/her trainer, followed by training audits which the trainee will conduct and be reviewed upon (the trainee will conduct a minimum of two audits/product in the presence of the trainer). At the conclusion of the training period, should he or she be deemed fully competent, the auditor will be approved to conduct audits unaccompanied.

The Auditors provide valuable insight on the manufacturing and testing aspects of the products being audited.

2.1.4 NTPEP Technical Committee Liaison

Each Technical Committee Liaison is assigned to serve several Technical Committees as a technical resource on the operational procedures of NTPEP. The Liaison provides guidance to the Technical Committee for development and maintenance of the Technical Committee Work Plan and other work of the Technical Committee. The Liaison will advise and assist the Technical Committee Chair in the balloting and approval process of any published work of the Technical Committee.

2.1.5 Administrative Coordinator

The Administrative Coordinator provides support and website maintenance for the steadily-growing NTPEP. He/she provides services related to invoice management. They also create and maintain contact groups within AASHTO's Membership Information Management System.

2.1.6 NTPEP Implementation Analyst

The NTPEP Implementation Analyst is responsible for the implementation of new products, monitoring the sustainability of current NTPEP technical committees, and other NTPEP technical committees.

2.1.7 NTPEP Program Specialist

The NTPEP Program Specialist is responsible for being a NTPEP Liaison for several NTPEP technical committees.

2.2 NTPEP Committee

The NTPEP Committee is comprised of representatives from every participating AASHTO member department and the Federal Highway Administration. The committee develops NTPEP guidelines by establishing policies and operating procedures in accordance with stated program goals. The committee develops an annual test program and makes decisions and appointments to execute it. The NTPEP Committee reports to the Council on Highways and Streets.

2.2.1 NTPEP Committee Chair

The Council on Highways and Streets Chair, following the official operating procedures of Council on Highways and Streets, will appoint the NTPEP Committee Chair. The Chairperson is appointed to two-year terms, which is interpreted as holding office for two-calendar year periods from the effective date of appointment, and terminates at the end of the first association annual meeting after their term has lapsed. Committee chairs may be appointed to succeed themselves for another two-year term. The Committee Chair provides leadership to the NTPEP Committee by chairing the meetings and making decisions in the best interest of NTPEP. The Chair reports to the Council on Highways and Streets about NTPEP activities, and represents the program as the key member department contact. The Committee Chair serves on the NTPEP Steering Committee (SC). The Committee Chair will act as chair of the SC when the Committee is convened as a Board of Appeals.

2.2.2 NTPEP Committee Vice-Chair

The NTPEP Committee Vice-Chair will be determined by Committee ballot. The SC will provide the nominations for the Vice-Chair position. The Vice-Chair performs the duties of the Chair whenever the Chair is unable to perform his or her duties due to absence or incapacity. The Vice-Chair serves as Chair of the SC, except in those instances where the SC meets as the Board of Appeals. The Vice-Chair also presides over new member orientation sessions.

The NTPEP Committee Vice-Chair is appointed for a term not to exceed 6 years.

2.2.3 Meetings

The NTPEP Committee will meet at least once each year at the direction of the committee chair. At that time, the NTPEP Committee and all technical committees will meet, unless a technical committee chooses to conduct a webinar prior to the meeting. This annual meeting usually takes place in April or May. The annual meeting will be rotated among the four AASHTO regions with the rotation to begin as follows:

2021– Region 1 (Northeast)
2022 – Region 2 (Southeast)
2023 – Region 4 (Western)
2024 – Region 3 (Mid America)

NOTE: States in each region are illustrated in Appendix B.

2.3 Steering Committee

The Steering Committee (SC) is responsible for periodic review and revision of the organization, policies and procedures of the NTPEP Committee to insure that the work of NTPEP is carried out. The SC also serves as a board of appeals whenever the appeals process becomes necessary.

2.3.1 NTPEP Regional Representatives

There will be one Regional Representative on the SC from each region of the Association. Each Regional Representative will be a member of the NTPEP Committee and will be elected to a four-year term by the member departments of the respective region at an annual meeting of the Committee. Regional Representatives will have staggered terms with one Representative's term beginning at the annual meeting each year. Delegates from the region electing the Representative will convene at the annual meeting to consider the nominees for Regional Representative. The candidates will have an opportunity to address the group and an electronic ballot will be cast to elect the Representative. A simple majority of the delegates from the region in attendance at the annual meeting will determine the successful candidate. Rotation for elections to the SC as Regional Representative will begin as follows:

- 2021– Region 1 (Northeast)
- 2022 – Region 2 (Southeast)
- 2023 – Region 4 (Western)
- 2024 – Region 3 (Mid America)

If a vacancy occurs between the annual meeting dates, the Committee Chair will have the authority to appoint an Interim Representative to the SC from the Region where the vacancy occurs. The Interim Representative will serve the remaining portion of the term of the elected Representative who vacated the position.

Regional Representatives may be elected to two consecutive four year terms on the SC. The second term shall be extended by the Steering Committee members. A Regional Representative appointed to fill a vacancy by the Committee Chair as described above may be elected to an additional four -year term. The elected term may be consecutive to the expiration of the appointment.

2.3.2 Membership

The Vice-Chair of the NTPEP Committee will chair the SC. In addition to the SC chair, the committee will include the NTPEP Committee Chair and Regional Representatives from each of the four AASHTO regions. When the SC sits as the Appeals Board, the Chair of the NTPEP Committee will chair the Board.

2.3.3 Appeals Board

The Appeals Board provides mediation for any disputes that arise between Manufacturers or Suppliers and the respective Technical Committee if the dispute cannot be resolved between the Manufacturer and said committee. Decisions made by this board will be considered final. Refer to Appendix C for additional details regarding the Appeals Process.

2.4 Technical Committees

The Technical Committee (TC) develops a project work plan and provides oversight and guidance throughout the evaluation/audit process. The TC develops the evaluation/audit procedures, identifies evaluation locations, and chooses the agencies, whether they be a DOT, state transportation agency, a private lab, or a consultant, to perform the evaluations.

2.4.1 Membership

- A. NTPEP Voting Member: (1) The individual designated to be the NTPEP voting member of an AASHTO member department receives all documents (including all Technical Committee Work Plans) via email through AASHTO's ballot system. The individual is responsible for submitting his/her ballot response prior to the deadline of each ballot. (2) Additionally, the state NTPEP voting member is responsible for keeping the NTPEP Usage Survey results current. He/She shall visit the NTPEP website to review this data and provide revisions to the Program Director for NTPEP. (3) The state NTPEP voting member shall review the Technical Committee membership lists on the NTPEP website to ensure all the correct employees are represented for their agency for the evaluation and audit programs they are utilizing within their state. (4) Lastly, the state NTPEP voting member shall promote the usage of NTPEP within their agency and educate his/her colleagues about NTPEP so the agency benefits from being a participant of NTPEP.
- B. NTPEP Non-Voting Member: (1) The individual designated to be the NTPEP non-voting member of an AASHTO member department receives all documents (including all Technical Committee Work Plans) via email through AASHTO's ballot system. If the NTPEP state voting member is unable to submit a ballot response, the state NTPEP non-voting member is responsible for submitting his/her ballot response prior to the deadline of each ballot. (2) Additionally, the state NTPEP non-voting member is responsible for keeping the NTPEP Usage Survey results current. He/She shall visit the NTPEP website to review this data and provide revisions to the Program Director for NTPEP. (3) The state NTPEP non-voting member shall review the Technical Committee membership lists on the NTPEP website to ensure all the correct employees are represented for their agency for the evaluation and audit programs they are utilizing within their state. (4)

- Lastly, the state NTPEP non-voting member shall promote the usage of NTPEP within their agency and educate his/her colleagues about NTPEP so the agency benefits from being a participant of NTPEP.
- C. Technical Committee Voting Member: (1) This individual shall participate on all scheduled conference calls the Technical Committee has. (2) This individual shall provide input on all documents sent to he/she via email from the Technical Committee Leadership, NTPEP Liaison, or NTPEP Program Director. (3) This individual shall submit a response to all ballots received for this Technical Committee through AASHTO's ballot system prior to the deadline of each ballot. (4) This individual shall ensure their state is utilizing the data and documents resulting from the evaluation/audit the Technical Committee is tasked with performing.
 - D. Technical Committee Non-Voting Member: (1) This individual shall participate on all scheduled conference calls the Technical Committee has. (2) This individual shall provide input on all documents sent to he/she via email from the Technical Committee Leadership, NTPEP Liaison, or NTPEP Program Director. (3) This individual shall provide input to the NTPEP voting member or the Technical Committee Voting Member for their agency when he/she receives ballots through AASHTO's ballot system. (4) This individual shall ensure their state is utilizing the data and documents resulting from the evaluation/audit the Technical Committee is tasked with performing.
 - E. Technical Committee Chairperson: Each Technical Committee Chairperson is appointed by the NTPEP Committee Chairperson. (1) The Technical Committee Chairperson shall lead the Technical Committee conference calls and in-person meetings, including facilitating discussion during the conference calls and in-person meetings. (2) The Technical Committee Chairperson shall serve as the principal contact and spokesperson for the Technical Committee. (3) The Technical Committee Chairperson is responsible for the overall quality and timely delivery of work produced by the Technical Committee. (4) The Technical Committee Chairperson shall know the Technical Committee's purpose, draft and prepare meeting agendas, draft Technical Committee documents including but not limited to: Work Plans, User Guides, and Technical Memoranda, etc. (5) The Technical Committee Chairperson shall delegate Technical Committee tasks to Technical Committee members when appropriate (6) The Technical Committee Chairperson shall ensure their state is utilizing the data and documents resulting from the evaluation/audit the Technical Committee is tasked with performing. (7) The Technical Committee Chairperson shall assist and encourage his/her neighboring states to utilize the data/documents being generated from the evaluations/audit the Technical Committee is tasked with performing. Note: No individual may serve as a Technical Committee Chairperson of more than one Technical Committee. On occasion, the NTPEP Program Director and Steering Committee have the flexibility to appoint someone until a viable Chairperson is identified.
 - F. Technical Committee Vice-Chairperson: Each Technical Committee Vice-Chairperson is appointed by the NTPEP Committee Chairperson. (1) In the absence of the Technical Committee Chairperson, the Technical

- Committee Vice-Chairperson shall lead the Technical Committee conference calls and in-person meetings, including facilitating discussion during the conference calls and in-person meetings. (2) The Technical Committee Vice-Chairperson shall know the Technical Committee's purpose, assist the Technical Committee Chairperson with drafting and preparing meeting agendas, draft Technical Committee documents including but not limited to: Work Plans, User Guides, and Technical Memoranda, etc. (3) The Technical Committee Vice-Chairperson shall delegate Technical Committee tasks to Technical Committee members when appropriate (4) The Technical Committee Vice-Chairperson shall ensure their state is utilizing the data and documents resulting from the evaluation/audit the Technical Committee is tasked with performing. (5) The Technical Committee Vice-Chairperson shall assist and encourage his/her neighboring states to utilize the data/documents being generated from the evaluations/audit the Technical Committee is tasked with performing. Note: No individual may serve as a Technical Committee Vice-Chair of more than two Technical Committees. On occasion, the NTPEP Program Director and Steering Committee have the flexibility to appoint someone until a viable Chairperson is identified.
- G. NTPEP Steering Committee Member: Each NTPEP Steering Committee member represents the states within their region. These members share any items of interest within their region with the other Steering Committee members. They are responsible for overseeing the management of NTPEP. The following is a breakdown of the AASHTO regions:
- a. Region 1 – Northeastern Association of State Transportation Officials (NASTO): Connecticut, Delaware, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, District of Columbia, Puerto Rico
 - b. Region 2 – Southeastern Association of State Highway and Transportation Officials (SASHTO): Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, South Carolina, Tennessee, Virginia, West Virginia
 - c. Region 3 – Mid America Association of State Highway and Transportation Officials (MAASHTO): Illinois, Indiana, Iowa, Kansas, Michigan, Minnesota, Missouri, Ohio, Wisconsin
 - d. Region 4 – Western Association of State Highway and Transportation Officials (WASHTO): Alaska, Arizona, California, Colorado, Hawaii, Idaho, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming
- H. Industry Representative: Representatives of industry providing products evaluated by a Technical Committee may be an industry representative of a Technical Committee. Such participants serve as non-voting members. The Technical Committee Chairperson may direct the industry representatives to designate a single representative that will provide one voice for industry concerns and issues to the Technical Committee during

- the annual meeting or during quarterly conference calls. The Industry Representatives will receive ballots and can provide comments, but not provide a vote.
- I. Industry Participant: An individual whose employer participates in a NTPEP evaluation or audit program and can provide technical support to a NTPEP Technical Committee in the role of Industry Participant. Such participants serve as non-voting members. The Industry Participants will receive ballots and can provide comments, but not provide a vote.
 - J. Industry Association: Industry Associations are industry groups with a common interest. These groups may partner with the related Technical Committee to ensure the evaluation/audit includes the current specifications and practices being used amongst industry. Industry Association members may participate on quarterly conference calls and at the annual meeting. These participants serve as non-voting members.
 - K. NTPEP Designated Laboratory Representatives: Laboratories who are contracted with AASHTO to perform evaluations for NTPEP may have a representative be on the Technical Committee in which the evaluations are to be conducted for. Such participants serve as non-voting members. The NTPEP Designated Laboratory Representatives will receive ballots and can provide comments, but not provide a vote.

2.4.2 Meetings

Each TC will have their session at the annual meeting, if they choose to not conduct a webinar prior to the meeting. Each TC will have a minimum of four conference calls annually (one per quarter). The NTPEP Liaison will schedule and host the conference calls and the particular TC chair will moderate them.

2.4.3 Participation

Attendance by all members at the annually scheduled meeting is especially important to accomplish the work of these committees. Acceptance of membership on one of these committees implies recognition of the value of its work, and a willingness and commitment to make every effort to attend these meetings. Recognizing that agency travel restrictions may prohibit attendance at annual meetings, other means of participation by the members for conference calls, document review and responding to ballots provides a valued contribution to the work of the TC.

2.4.4 Technical Committee Documents and Responsibilities

Below is a brief overview of the documents and responsibilities with which TC committees are charged. Every TC will have a Work Plan that is reviewed when necessary. The TC will coordinate sampling, testing and audit procedures as well as ensure data is reported for their specific TC.

2.4.5 Work Plans

When notified by the NTPEP Program Director or NTPEP Liaison that proposals for emerging Technical Committees have been approved, the TC will finalize the work plan. The work plan specifies the procedure used by contracting agencies (public or private entities) to perform NTPEP product evaluations. The project work plan becomes a part of the contract between AASHTO and the contracting agency. The technical committee develops the work plan, with input from the member agencies and industry representatives on the TC. While industry may provide input, only the member agencies will be able to vote on these documents. The work plan clearly defines the product and its possible applications for highway and transportation department use.

Specific dates or other information that would force frequent revision of the document will not be included in the work plan. All referenced time schedules will be in general terms that do not require revision based on yearly calendar changes. An exception to this rule is that referenced standard test methods will contain the year if a TC is using a previous version of the AASHTO or ASTM standard (i.e. T 89-21, M 256-21, etc.) to preclude confusion over what method is specified for the NTPEP evaluation. If the TC is using the current version of the AASHTO or ASTM standard, then the year will not be referenced in the TC work plan. Every year, the TC will review referenced standard methods to determine whether or not these methods have changes.

When a work plan is updated beyond editorial revisions, there is a 3 step process to be followed for approval of the revised document.

1. The NTPEP Program Director or NTPEP Liaison for the TC will review the work plan to verify the practices that are required of all work plans are included and consistent with established policies as stated in this document. Rather than restating policies that are described in this guide, work plans shall reference the appropriate section of this document. If the NTPEP staff and the TC Chair cannot resolve deviations from policy, the work plan will be referred to the SC for review and decision. The SC may determine that the deviation is appropriate. If so they will ballot a change to the affected policy with the Committee. Conversely, they may find corrections to the work plan are needed and suggest revisions to the TC Chair to bring it in conformance with existing policy. The decision of the SC will be final.
2. After comment/review, the draft work plan will be edited by the TC chair. The work plan revision will then be balloted through a meeting or electronic ballot by the entire TC. The TC will determine if comments received from balloting are technical changes or editorial changes. Negatives received will be voted on by the TC as persuasive, non-persuasive or non-related. Negatives that are found persuasive will be addressed. Any technical revisions due to

comments or resolved negatives will require the work plan to be balloted a second time.

3. After the TC approves the work plan, a copy will be submitted to the NTPEP Program Director and NTPEP Liaison. The NTPEP Program Director will submit any new work plan and/or any work plan deemed by the TC Chair to have significant modifications for balloting and approval by the entire NTPEP Committee. Negatives and comments from the full Committee vote will be returned to the TC Chair for resolution as detailed in the section above. Any technical revisions due to comments or resolved negatives will require the work plan to be balloted a second time. Work plan approval is defined by electronic or voice ballot with two-thirds of the voting members responding and a majority responding affirmatively.

2.4.6 Product Sampling & Shipping Requirements

The project work plan will stipulate that manufacturers will provide products, representative of the normal production process, in the quantities specified, at no cost to the program, and may be selected by a NTPEP representative from existing stock. The manufacturer is responsible for notifying the TC Chair regarding the location(s) of the materials to be sampled and providing the correct contact information for obtaining the samples.

Products may not be shipped to the test state or authorized NTPEP Designated Laboratory until authorized by the NTPEP Liaison/TC Chair. The manufacturers will submit a completed electronic application (eAPP) to NTPEP.

Once the eAPP is accepted by the NTPEP Liaison, DataMine will email the information to the appropriate TC Chair. The NTPEP Liaison will then be responsible for notifying the manufacturer that the product has been accepted for testing and providing payment instructions. When payment for the evaluation has been confirmed as received in DataMine, the NTPEP Liaison, the TC Chair or NTPEP Designated Laboratory will notify the manufacturer when and where to ship the product for testing unless the TC requires the materials to be sampled in the presence of a DOT representative.

If the work plan requires the materials to be sampled at the manufacturing location in the presence of a DOT representative; the NTPEP Staff will contact the voting member of the state in which the product is to be sampled. The voting member will arrange for appropriate personnel to be present during the sampling process. Alternatively, the TC Chair can elect to utilize virtual witness of sampling procedures to conduct sampling with the use of video conferencing software.

2.4.7 Scheduling of Testing and Timely Release of Data

The NTPEP Liaison assigned to the TC will review the work plan and the TC testing schedule. The NTPEP Liaison will periodically request schedule updates from the test state or authorized NTPEP Designated Laboratory to track the progress of the product evaluation. The TC or designee will review the test reports for quality of the data contained in the report. Each work plan will contain a timeline for review and release of the test or audit information to the manufacturer.

Testing facilities failing to test and release data in a timely and satisfactory manner will have their termination of agreement contract clause enforced if the matter is not expediently resolved.

2.4.8 Reports

Status reports, final reports, and other information required by each work plan will be produced timely and accurately, proceeding through the Quality Assurance process set forth by the committee. Reports will be generated within DataMine. Audit reports will be posted to DataMine by the NTPEP Auditors. If applicable, the NTPEP Designated Laboratory will upload any data generated into DataMine and notify the Lead State that the data is ready for review. Following Lead State review and approval, the data is released to the manufacturer. Upon review and approval from industry, the data will then be released to the public. Release of data prior to the publication of the final report or public release of uploaded data will be in accordance with those policies stated in Appendix E.

Reports do not provide parameters or specifications for acceptance or rejection of a product. They will provide an objective evaluation and reporting of data obtained from the testing or audit that has been performed. The report may cite product compliance with the work plan requirements.

2.4.9 Approval of Reports

The TC will approve the report. Reports will be made available electronically on the NTPEP DataMine website with an announcement provided to all participating member departments and upon request, to AASHTO committees, FHWA and the Transportation Research Board. NTPEP will utilize DataMine to electronically distribute reports/data to all manufacturers who participate in the program. The NTPEP Program Director may also elect to provide reports to others not affiliated with AASHTO, such as cities and counties, and may establish subscription fees or other appropriate charges for such distribution.

2.4.9.1 Appeals

If a manufacturer/supplier disagrees with the actual test or audit results shown in a report, the manufacturer should refer to the review process covered in Appendix E. If the disagreement cannot be resolved through the TC Chair the dispute may be referred to the Appeals Board for final decision.

When data is in the appeal process, a note will be entered into the electronic report indicating that the data is “on hold”. The appeal will not delay public release of other data in the report. Upon completion of the appeal process, data will then be reported in accordance with the policy and procedure of the technical committee for that specific product. (For details regarding the Appeals Board Process please see Appendix C)

2.4.9.2 Responding to Inquiries

When the TC is presented with questions and concerns from manufacturers, NTPEP Designated Laboratories, and other sources, the TC liaison shall present the question to the Chair and Vice-Chair for their consideration on the matter. Depending on the complexity of the issue, the Chair and/or Vice-Chair may decide to handle the matter immediately, or they may consult with other members of the TC for further guidance on an issue. During the TC’s quarterly meetings, the NTPEP Liaison will ensure that all major inquiries are presented to the members of the TC along with any information regarding how the inquiry was resolved. Members shall be given an opportunity to discuss each item, and ask questions pertaining to the matter or the resolution of that matter.

Some inquiries and issues that are presented to the Chair and Vice-Chair may involve proprietary information. In cases where such sensitive information is involved, the Chair and/or Vice-Chair will present that information to the NTPEP Steering Committee before disseminating it to the technical committee. The NTPEP Steering Committee will then help the Chair and/or Vice-Chair determine whether the information should be held as confidential, or if it can be released to the other members of the technical committee.

When the Chair and/or the Vice-Chair determine that the TC should be involved directly with a decision on a particular inquiry, then the matter shall be deliberated upon and put to a vote. Any member of the TC may join in the deliberation, but only voting members will be allowed to vote on a resolution, however. In order to pass or reject a proposed resolution, a simple majority vote will carry the decision.

2.5 UP3 Technical Committee

The Unique Patented & Proprietary Products Technical Committee, UP3 (formerly APEL), was created as a program for member departments to use innovative and proprietary transportation products through the exchange of state DOT product certifications, evaluations, and AASHTO-coordinated accelerated laboratory testing. The listing serves to eliminate duplication of testing by the states and duplication of effort by the manufacturers providing products for evaluation.

UP3 is steered by the UP3 Council and operates under NTPEP receiving oversight from the NTPEP Steering Committee.

2.5.1 UP3 Council

UP3 is comprised of the UP3 Liaison, UP3 Chair, and UP3 Regional Representatives.

2.5.1.1 UP3 Council Chair

The NTPEP Chair shall appoint the UP3 Chair, an agency Chief Materials Engineer. The UP3 Chair provides leadership to the UP3 Council by chairing the meetings and making decisions in the best interest of UP3. The Chair will work in unison with the Regional Representatives to make determinations on product submittals, and drafts a letter of final judgment to manufacturers. The UP3 Chair serves on the NTPEP Steering Committee.

2.5.1.2 UP3 Council Vice-Chair

The UP3 Vice-Chair shall be selected from active UP3 Council Members. The UP3 Council members shall reach a simple majority decision to elect the Vice-Chair. Upon acceptance of the Vice-Chair role, the UP3 Council will elect a new Regional Representative to fill the vacancy left by the incumbent Vice-Chair. The Vice-Chair shall assist the UP3 Council Chair on decision-making, meeting facilitation, and other functions as directed by the UP3 Council Chair. Additionally, the Vice-Chair shall work in unison with the Regional Representatives of the UP3 Council to make determinations on product submittals and provide technical expertise as needed within the Technical Committee. In the event that the UP3 Council Chair is unable to fulfill his or her duties, the Vice-Chair shall act as the interim UP3 Council Chair.

2.5.1.3 UP3 Council Regional Representative

There will be at least one Regional Representative on the UP3 Council from each region of the Association. The Representatives will conduct initial determinations of acceptance for product submittals, followed by a detailed review for those products which are accepted. Working with the UP3 Chair, the Representatives will provide guidelines for the development of an evaluation protocol.

2.5.1.4 UP3 Council Decisions

The UP3 Council makes all decisions with respect to the product review process. The UP3 Council must decide whether or not to proceed with a product evaluation based on the information listed on the product's application, and the interests of the states. Only products that fit within the scope of UP3 will be given a decision to proceed. The application form is standard for all products and is designed to capture important product information relevant to the decision by the UP3 Council. Upon review of a product's application, the UP3 Council will decide how to proceed based on the areas of interests important to the states. Examples of these areas include, but are not limited to, quality, practicality, cost effectiveness, environmental, sustainability, and level of interest from the states.

2.5.1.5 UP3 Council Meetings

The UP3 Council meets at a minimum of once a month on the last Wednesday of every month via teleconference. In addition, the UP3 Council meets in person at the annual NTPEP meeting.

2.5.1.6 Communication with the UP3 Council or Laboratory

All communication should go through the UP3 Liaison in order to properly document all information exchanged for later review by the UP3 Council.

2.5.1.7 State Product Coordinators

Data generated through a product evaluation shall be made available to the State Product Coordinators to determine whether a product may be granted provisional status on a State DOT's Qualified Product List (QPL). Should the circumstance arise that a state has previously conducted a product evaluation the manufacturer may submit a request through UP3 for the State Product Coordinator to post the certification and/or report generated from the evaluation.

2.5.2 UP3 Website

The UP3 website is intended to house new and innovative products or materials, old products or materials for new applications, or products that while scientifically vetted face implementation obstacles due to lack of specifications, experience, knowledge, or guidelines among AASHTO members. In addition, products that lack acceptance due to competitive dominance of other more traditional products or materials among the AASHTO members can also be incorporated.

2.5.2.1 Applicability

The UP3 website is not intended for materials or products whose basic properties are under research, not ready to implement in the field, or where specifications already exist among the AASHTO members or other sources like ASTM or ACI.

2.5.3 UP3 Evaluation Review Process

The UP3 process consists of four stages all reviewed and approved by the UP3 Council. Refer to Appendix I for flowchart of process.

1. Initial product evaluation request
2. Detailed product evaluation application submittal and processing
3. Posting of evaluation data
4. Product certification request

If a state DOT has already performed an independent review process, steps 1-3 can be skipped, enhanced, or performed again at either the UP3 Council's request or the vendor's needs.

2.5.3.1 Stage 1: Initial Product Evaluation Request

Vendor submits a request to UP3 for consideration of their product or material to be evaluated for possible inclusion into the UP3 listing. The UP3 Liaison reviews the applications and decides if the product fails to meet the basic acceptance criteria described above. If the product doesn't meet, the vendor is notified and encouraged to follow other means more appropriate for their product. Please note that the coordinator does not decide whether to test the product or not; the coordinator makes sure the products that go in front of the UP3 Council meet the scope of UP3.

If the product meets, the coordinator forwards the evaluation request to the UP3 Council for review and discussion on the next scheduled teleconference. The UP3 Council then decides if the product moves to stage 2. There are specific documents, requirements and financial obligations from the vendor at this point if a decision is made to move ahead to stage 2.

2.5.3.2 Stage 2: Detail Product Evaluation Application Submittal and Processing

Once the detailed product application and fees have been received, the coordinator reviews for completeness and forwards the request to the UP3 Council for review and discussion at the next teleconference. The UP3 Council reviews the application and provides basic direction to the liaison if further questions or information are required, how to proceed in the evaluation of the product, basic variables of interest, laboratory to use, types and duration of tests, etc.

With all the relevant details of the test program identified, the Liaison communicates with the laboratory to describe the testing guidelines as well as obtain a scope of work and cost estimate for testing based on the decisions of the UP3 Council. Aside from the cost estimate, the laboratory provides a detailed breakdown as to what the test regimen entails and the cost of either individual tests or a wholesale value for the entire evaluation. The estimate of cost is given to the vendor in order to provide an opportunity to decide if proceeding with the evaluation is in their best interest. If the vendor confirms participation and payment, the laboratory is asked to proceed. The laboratory may at this point contact the vendor to acquire an appropriate number of product samples. The laboratory then conducts testing.

2.5.3.3 Stage 3: Posting of Evaluation Data

When the report is received from the testing lab, the results are reviewed by the UP3 Liaison for proper content and formatting, and then the report is forwarded to the vendor. The vendor then has the opportunity to decide if they wish to publish

the data. If they wish to publish the data, the test report becomes available for review and use by state product coordinators.

2.5.3.4 Stage 4: Product Certification Request

When a state has previously conducted testing on a vendor's product and certified it for use, the state may post their certificate as well as the resulting data at any time. If a state has not posted evaluation data, a vendor may submit a request through the UP3 module to have the state product coordinator post their certificate to the site.

The UP3 Council or state product coordinators that review this data may request additional testing or new evaluations to be completed in case the previous data/evaluation do not meet their required acceptance criteria.

3.0 ANNUAL NTPEP PROGRAM MANAGEMENT

3.1 Review and Assessment

Every year, the NTPEP Committee will determine program direction. The Committee will review the activity of current TCs and evaluate proposals for the formation of new TCs and products for evaluation within the NTPEP structure for the coming year.

3.2 NTPEP Annual Meeting

At the annual meeting, the NTPEP Committee will review and approve a proposed annual testing program for the ensuing year, develop a budget supporting the testing to be completed and consider any resolutions brought before the committee.

3.2.1 NTPEP Program Report

The NTPEP Program Director will provide the annual budget report to the NTPEP Steering Committee each year. The budget report will show the previous fiscal years receipts and expenditures and provide an update regarding the number of products that were submitted for evaluation and the number of manufacturing processes that were audited as part of the program.

3.2.2 Resolutions

The NTPEP Committee may adopt resolutions to request actions from the Council on Highways and Streets, the AASHTO Board, or other subcommittees, or to establish general policies for NTPEP. Proposed resolutions will be submitted to the Steering Committee in writing prior to, or at, the annual meeting. A resolution must be approved, by electronic or voice ballot, by two-thirds of the voting

members. The NTPEP Program Director will record approved resolutions in the minutes and forward each to the appropriate recipient.

3.2.3 Sponsorship

Sponsorship dollars and in-kind contributions for NTPEP meetings, if any, are to be obtained in a manner that conforms to Section 4 of the AASHTO Bylaws, Board of Directors Operating Policy. These contributions will only be used to directly offset the cost of the opening NTPEP reception, the actual NTPEP annual meeting, including the meals served as part of the NTPEP meeting, the morning and afternoon breaks associated with the NTPEP meeting, the Technical Tour (if applicable), the NTPEP dinner, and all related activities that are published in the meeting's official agenda. With the exception of displays, hospitality suites are not desired and industry associations and companies are discouraged from sponsoring such activities.

The annual meeting can be sponsored in three ways:

1) Tiered sponsorships

Approval for tiered sponsorships will be made by AASHTO and the Host State. Tiered sponsorship will be displayed at the following levels; Platinum, Gold, Silver, and Bronze. Contribution levels and manners of recognitions of sponsors will be determined by AASHTO in conjunction with the Steering Committee and Host State.

2) Event sponsorships

Approval for event sponsorships will be made by AASHTO and the Host State. Event sponsorships are used to offset costs for the sponsored event. An entity sponsoring a specific event that wishes to contribute an amount larger than the cost of the event will have their contribution that exceeds the cost of the sponsored event recognized at the appropriate tiered sponsorship level. Sponsor recognition (displays, placards, etc.) at an event will be approved by AASHTO, the Host State, and the Steering Committee.

3) Exhibitor Opportunities

NTPEP industry participants may choose to be an exhibitor at each Annual NTPEP Meeting. The details for the exhibitor opportunities are listed in the registration website for the Meeting.

3.3 New Products' Categories for Evaluation and Assessment of Existing Technical Committees

Participating states, the Federal Highway Administration (FHWA), or industry may submit candidate product categories for formation of new Technical Committees or addition to an existing Technical Committee. All submissions must include the expected scope of the test project accompanied by a statement of expected benefits and estimated costs. Any available documentation indicating the need of the new test project by the AASHTO members will be included. Every existing Technical Committee will undergo an annual assessment to evaluate the sustainability and value for states and participating manufacturers. The detailed procedure for submittal of a new product category and assessment of existing TCs under NTPEP is defined in Appendix F.

3.4 Research Needs

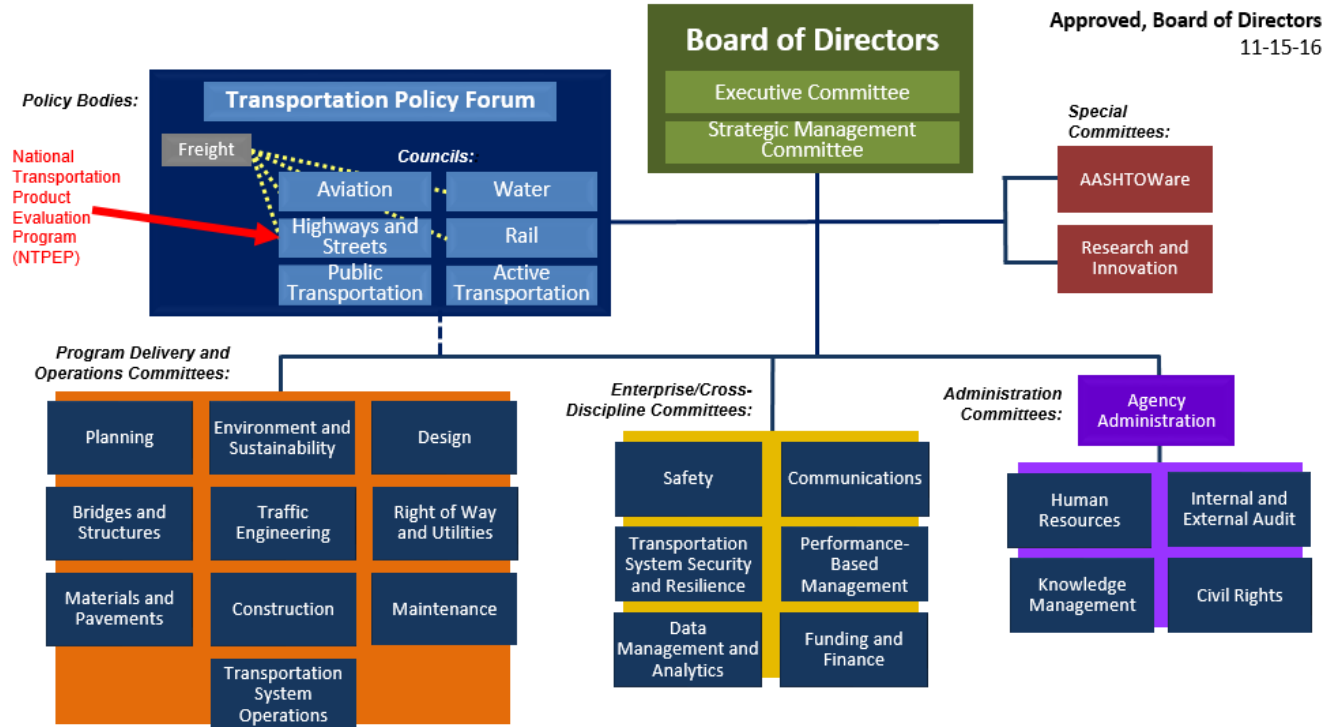
Members are encouraged to submit materials-related research needs for NTPEP endorsement for inclusion in NCHRP and other research programs. Research needs statements will be submitted to the appropriate TC chair prior to, or at the annual meeting for TC endorsement. Following the meeting, the TC Chair forwards endorsed statements to the NTPEP Program Director for consideration. Product test data will be made available for research upon request and approval by the SC.

APPENDICES AND ANNEXES

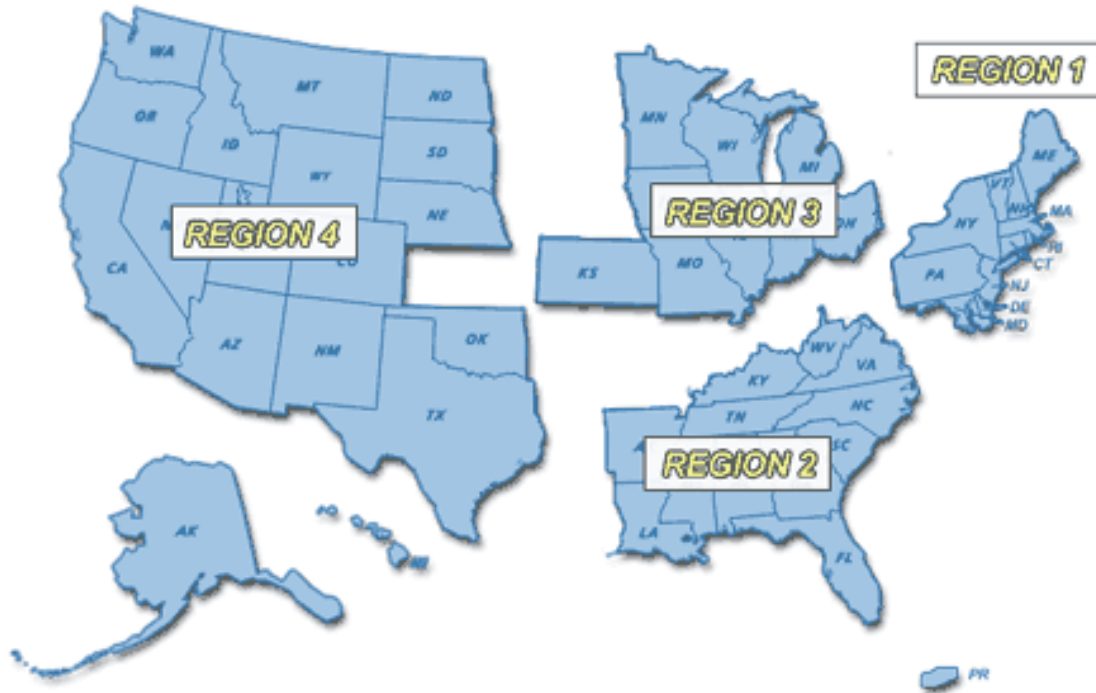
Appendix A:	AASHTO Organizational Charts
Appendix B:	AASHTO Regions
Appendix C:	Appeals Board Process
Appendix D:	Technical Committee Scopes
Appendix E:	Established NTPEP Policies
Appendix F:	Introduction & Development of a New Product Category and Assessment of Existing TCs
Appendix G:	Standard Operating Procedure for Receiving and Distributing Research Proposals
Appendix H:	General Terms and Conditions
Appendix I:	UP3 Evaluation Review Process Flowchart
Annex I:	Defining “Retest” Requirement and Recommended “Re-evaluation”
Annex II:	Manufacturer Request to Visit Field Site or Test Deck
Annex III:	NTPEP Succession Plan
Annex IV:	Onboarding Procedure for Technical Committee (TC) Members

Appendix A: AASHTO Organizational Chart

Committee Structure



Appendix B: AASHTO Regions



Region 1 – Northeastern Association of State Transportation Officials (NASTO):

Connecticut, Delaware, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, District of Columbia, Puerto Rico

Region 2 – Southeastern Association of State Highway and Transportation Officials (SASHTO):

Alabama, Arkansas, Florida, Georgia, Kentucky, Louisiana, Mississippi, North Carolina, South Carolina, Tennessee, Virginia, West Virginia

Region 3 – Mid America Association of State Highway and Transportation Officials (MAASHTO):

Illinois, Indiana, Iowa, Kansas, Michigan, Minnesota, Missouri, Ohio, Wisconsin

Region 4 – Western Association of State Highway and Transportation Officials (WASHTO):

Alaska, Arizona, California, Colorado, Hawaii, Idaho, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming

Appendix C: Appeals Board Process

The Appeals Board functions as a point of mediation for any disputes that arise between Manufacturers or Suppliers and the respective Technical Committee if the dispute cannot be resolved between the Manufacturer and said committee. The Appeals Board is comprised of the Vice Chair of the NTPEP Committee, and the Regional Representatives (one each from the four AASHTO Regions). The Appeals Board will be chaired by the Chair of the NTPEP Committee. Decisions made by this board will be considered final.

When a Manufacturer or Supplier disagrees with reporting or data generated for products that they have submitted through Technical Committee for evaluation, the steps detailed below shall be followed for resolution:

1. Provide the TC Chair a written request to review the data or consider revision to the reported values. The request must contain justification related to the specific product that has been submitted.
2. The TC Chair will review the request and make a decision regarding the validity of the request for revision to the reported values.
3. If the TC Chair determines the request to be valid, the Chair will notify the data reporting entity and request either a reevaluation of the product or a change to the data.
4. If the TC Chair does not find the request to be valid, the Chair will notify the Manufacturer that the request has been denied.
5. If the Manufacturer considers the issue unresolved after working with the TC Chair, the Manufacturer may request the matter to be taken before the Appeals Board as described above.
6. The appeal will not delay public release of other data in a report. The data in question will be reported as “on hold” while the appeal is in process.
7. The Appeals Board will convene and review the information provided by the Manufacturer and the TC Chair.
 - a. The Board may request additional information from the Manufacturer representative or the TC Chair.
 - b. The Appeals Board may request that the Manufacturer representative and the TC Chair appear before the board to discuss the issues of the appeal.
8. The Appeals Board decision regarding the issue will be considered final.

Appendix D: Technical Committee Scopes

Technical Committees:

1. Asphalt Binder Suppliers (ABS)

The Asphalt Binder Supplier Technical Committee manages the audit program for facilities that supply asphalt binder as a blending facility, modifying/blending facility, or bypass facility. Audits are conducted by a NTPEP manufacturing auditor and the results are published on the NTPEP DataMine website for member agency use in determining acceptability of manufacturers. By participating in this program, the Manufacturer agrees to produce product that meets or exceeds the requirements in AASHTO R 26 as well as other AASHTO/ASTM designated standards and follow the minimum quality control provisions of the program.

2. Asphalt Release Agents (ARA)

The Asphalt Release Agents Technical Committee facilitates the laboratory evaluation of liquid based, non-solvent release agents for hot mix asphaltic concrete.

3. Concrete Admixtures (CADD)/ Concrete Curing Compounds (CCC)

The Concrete Admixtures and Concrete Curing Compounds Technical Committee facilitates the evaluation of liquid admixtures for modifying properties of concrete utilized in highway construction. It also facilitates the laboratory evaluation of liquid membrane-forming compounds utilized in the curing of concrete surfaces in highway construction.

4. Corrugated Metal Pipe (CMP)

The Corrugated Metal Pipe Technical Committee manages the audit program for facilities that produce corrugated metal pipe. Audits are conducted by a NTPEP manufacturing auditor, compliance samples are tested, and the results are published on the NTPEP DataMine website for member agency use in determining acceptability of manufacturers. By participating in this program, the Manufacturer agrees to produce product that meets or exceeds the requirements in AASHTO M 36, M 190, M 196, and/or M 245 as well as other AASHTO/ASTM designated standards and follow the minimum quality control provisions of the program.

5. Crack Sealers and Joint Sealants (CS & JS)

The Crack Sealer and Joint Sealant Technical Committee facilitates the laboratory and field evaluation of crack sealer and joint sealant materials. The specific products evaluated are hot poured crack sealers and hot poured and cold applied chemically cured joint sealants.

6. Detectable Warning Systems (DWS)

The Detectable Warning Systems Technical Committee facilitates the laboratory performance evaluation of these products using a simulated weathering exposure. The general product categories include Cast-in-place (wet concrete with mechanical anchors), surface applied (adhesive bonded). Surface applied single dome, and Integral (brick pavers and similar devices). The laboratory evaluation includes a battery of laboratory performance tests to evaluate the conformance of devices to Americans with Disabilities Act and Architectural Barrier Accessibility Guidelines and to predict field performance.

7. Elastomeric Bridge Bearing Pads (EBB)

The purpose of the program is to establish a list of Manufacturers and products that conform to the quality control and product testing requirements of this program. AASHTO member departments can then use this information in their quality assurance program for Manufacturer/product acceptance. This may include utilizing this information to establish a qualified Manufacturer list, a qualified products list, or both. By participating in this program, the Manufacturer agrees to produce product that meets or exceeds the requirements in AASHTO M251 and the AASHTO LRFD Bridge Construction Specifications, Section 18 and follow the minimum quality control provisions of their Quality Program.

8. Epoxy and Resin Based Adhesive Bonding Systems (ERB)

This evaluation program utilizes laboratory tests to determine properties and evaluate the performance of adhesives for concrete. This work-plan is intended to assess resin adhesive systems that are not intended for sustained load applications; for example, dowel connections in concrete pavement slab replacements, and jointing epoxies of precast segments for segmental bridges.

9. Erosion Control Products (ECP)

The Erosion Control Products Technical Committee facilitates the evaluation of products which reduce the erosion of soil. The tests follow protocols originally developed under the guidance of the Erosion Control Technical Committee (ECTC). These standards developed by ECTC are now ASTM standards. The tests serve to evaluate the products effectiveness at reducing soil loss from rainfall-induced erosion on a simulated slope and soil loss from shear forces in a simulated channel. In addition, the germination test shows the products ability to enhance or impede vegetation germination and growth. Complementing these tests is a

battery of index value tests documenting the physical properties of the products.

10. Geosynthetics (GTX & REGEO)

The Geosynthetics Technical Committee facilitates the evaluation of geotextiles and geosynthetic reinforcement as used in applications which include subsurface drainage, separation, stabilization, temporary erosion control (e.g., silt fences), permanent erosion control, paving, geosynthetic reinforced soil walls, geosynthetic reinforced slopes, embankment base reinforcement, and pavement subgrade reinforcement. These evaluations are conducted through two independent testing programs, Geotextiles and Geosynthetic Reinforcement (GTX and REGEO).

Geotextiles: This evaluation is targeted to provide the test data needed to assess geotextile products in accordance with AASHTO M 288. NTPEP also requires private labelers, convertors, and prime manufacturers to be audited. During each audit, the products are sampled for testing.

Geosynthetic Reinforcement: This evaluation is conducted in accordance with WSDOT Standard Practice T925, which uses a number of ASTM, ISO, and other test standards as part of its evaluation protocol to assess the long-term strength and stiffness of geosynthetic reinforcement products (e.g., geogrids, geotextiles, and polymer straps), including field and laboratory evaluation of installation damage effects, laboratory creep testing, and chemical durability testing (including typical in ground chemical and moisture conditions and UV stability).

Data produced through these evaluations can also be used as input for reinforced soil structure design.

NTPEP also requires prime manufacturers of geosynthetic reinforcement products to be audited. During each audit, the products are sampled for testing.

11. Guardrail/Guiderail (GRL)

The purpose of this program is to establish a list of Manufacturers and products that conform to the various requirements of the Guardrail Work Plan and who successfully pass their NTPEP audit each year. AASHTO member departments can then use this information in their quality assurance program for Manufacturer/product acceptance. This may include utilizing this information to establish a qualified Manufacturer list, a qualified products list, or both. By participating in this program, the Manufacturer agrees to produce product that meets or exceeds the requirements in AASHTO M 180 and M 30 as well as other AASHTO/ASTM designated standards and follow the minimum quality control provisions of the program.

12. Pavement Marking Materials (PMM)

The Pavement Marking Materials Technical Committee facilitates the laboratory and field performance evaluation of these products in various climatic regions in the United States. The general product categories include traffic paint (standard and thick-film varieties), thermoplastics (extrude, spray and preformed), cold tape (temporary and permanent) and multi-component materials (epoxies, polyesters, polyureas, MMAs, etc.). The field evaluations expose the markings to traffic and weather conditions that may be experienced in a standard installation in a representative climatic region. The laboratory evaluation includes a battery of performance and compositional tests specific to each general category of pavement markings.

13. Portland & Blended Cement (PBC)

The Portland and Blended Cements Technical Committee facilitates the laboratory evaluation of Portland and blended cements. These hydraulic cements react chemically with water to form a hardened paste, which when mixed with aggregates forms concrete. This technical committee defines the evaluation procedures and serves as the standard testing protocol for AASHTO's NTPEP for Portland and Blended Cements. Submittals will be tested for Portland Cements which will be evaluated in accordance with M85 or ASTM C150, or Blended Cements which will be evaluated in accordance with M240 or ASTM C595.

14. High Friction and Thin Overlays (HFTO)

The High Friction and Thin Overlays Technical Committee facilitates the laboratory and field evaluation of high friction and thin overlays. This program consists of a battery of laboratory evaluations and 36 month field evaluation. Field test sites will be selected on asphalt pavement, concrete pavement, and concrete bridge deck. These evaluations are intended to assess the product adhesion properties and any improved skid resistance of the applied products.

15. Portable Changeable Message Signs and Flashing Arrow Panels (PCMS & FAP)

The Portable Changeable Message Signs (PCMS) and Flashing Arrow Panels (FAP) Technical Committee facilitates the evaluation and field performance of these trailer mounted products utilized for the temporary control and management of vehicular traffic on State and local highways. General product categories for PCMS include a standard 3-line, 8-characters per line display consisting of either a character-matrix, line-matrix or full-matrix message board. FAP (arrow boards) consists of either a 15 or 25 LED lamp board that can display right/left arrows, chevrons and caution indications. Testing of both PCMS and FAP include the following three areas: a performance test for evaluating the functional operation of the sign; a field test for evaluating the visibility, legibility

and angularity of the message display; and a shutdown test for evaluating the capacity of the battery bank.

16. Raised Pavement Markers and Snow Plowable Raised Pavement Markers (RPM & SRPM)

The Raised Pavement Marker and Snow Plowable Raised Pavement Marker Technical Committee facilitates the laboratory and field evaluation of raised pavement markers. For non-plowable RPM's the case and lens are evaluated. For plowable RPM's the casting and lens are evaluated. In addition, this committee evaluates Temporary RPM's, Temporary Chip Seal RPM's, and Adhesives used to secure non-plowable RPM's. The field evaluations expose the markers to traffic and weather conditions that may be experienced in a standard installation.

17. Rapid Set Concrete Patching (RSCP)

The Rapid Set Concrete Patching Technical Committee facilitates the laboratory and field evaluation of cementitious, polymer, and polymer modified rapid setting concrete patching materials. Products are evaluated for two years consisting of laboratory testing the first year and field performance evaluations the first and second years.

18. Reinforcing Steel and Wire (REBAR/WWR/WIRE/SSTL/SWS)

The Reinforcing Steel Technical Committee manages the audit program for mills that produce reinforcing steel bar and wire fabric for concrete reinforcement used in transportation facilities. The program includes a review of the mill's quality system and testing procedures, and an on-site audit which reviews records and mill test reports, production of steel, materials traceability, and material testing of select samples. The program also includes comparison sample testing performed by a NTPEP designated laboratory. Audits are conducted annually to determine whether the producing mill has the capabilities to consistently meet the specification requirements (AASHTO/ASTM) for the bar product being produced.

19. Protective Coatings (SSC & CCS)

The Protective Coatings Technical Committee facilitates the laboratory and field evaluation of protective coatings for structural steel and concrete. The evaluation of structural steel is performed in accordance with the testing procedures designated in AASHTO Reference Standard R 31, 'Evaluation of Protective Coatings Systems for Structural Steel'. Standardized testing procedures provide analytical characterization data and evaluate the performance of coating systems through accelerated weathering and 2-year atmospheric exposure testing.

20. Sign Sheeting Materials/Roll Up Signing Materials (SSM/RUP)

The Sign Sheeting Materials Technical Committee facilitates the laboratory and field evaluation of sign sheeting material and roll up signing materials. Field test sites which expose the material for up to three years are located in various climatic regions of the country.

21. Spray Applied Non-Structural Pipe Liners (SAPL)

The Spray Applied Non-Structural Pipe Liners Technical Committee oversees the work plan that covers the evaluation of cementitious and resin-based spray applied liners for stormwater conveyance conduits. This work plan utilizes laboratory testing to evaluate material used for the spray-applied liner. Different laboratory material testing is required for the resin-based liner versus the cementitious liner. An optional structural test is included in the work plan.

22. Temporary Traffic Control Devices (TTCD)

The Temporary Traffic Control Devices Technical Committee facilitates laboratory and field evaluation of flexible delineators and drums. Field conditions are utilized to represent hot summer conditions as well as cold winter conditions.

23. Thermoplastic Pipe (THP)

The Thermoplastic Pipe Technical Committee manages the audit program for facilities that produce thermoplastic pipe in one of the product areas listed below. Audits are conducted by NTPEP manufacturing auditors and the results are published on the NTPEP DataMine website. The Thermoplastic Pipe Committee encompasses three product areas: Corrugated High Density Polyethylene (HDPE) Pipe, Profile Wall Polyvinyl Chloride (PVC) Pipe and Corrugated Polypropylene Pipe (PPP).

24. Warm Mix Additives (WMA)

The intent of the NTPEP Warm Mix Technologies program is to evaluate the various Warm Mix Asphalt products (additives), technologies (foaming and other processes) and antistripping additives being used to ensure they meet the performance standards of a normal Hot Mix Asphalt. By evaluating changes in the asphalt binder, volumetric properties of the mix, and performance test results, we are able to compare the Warm Mix to the standard baseline mix. The goal is to verify that the warm mix performs as well as or better than the baseline standard mix.

Appendix E: Established NTPEP Policies

Policy for Automatic Release of Data in DataMine

- Once a lead state reviews/releases data in DataMine, each manufacturer will receive an email notification to let them know data is available for review and release in DataMine. The email notification will state the manufacturer has 30 calendar days to review and release the data. If a manufacturer does not respond and release the data within 30 calendar days, the data will be automatically released to the public by the DataMine system. When this occurs, there will be an automated notice from the system indicating that Data has been released by AASHTO.
- If a manufacturer has a technical question about reported data values, they will need to provide a detailed query specifically detailing the issue and basis for their concern in the comment box, on the data release page along with an email notification to the NTPEP Liaison and the Lead State. Once the manufacturer provides this detail through DataMine, the Lead State, AASHTO and the test facility will also be notified automatically. The Lead State will need to put the data for the product back “on hold” at this point. The correspondence will serve as notice to interrupt the 30 day automatic release function.
- The manufacturer must work with the Lead State to resolve the issue. A minimum fee of \$500 or the actual cost of the retest (whichever is greater) will be assessed to the manufacturer if the data query requires test verification or retest of a product. If the manufacturer questions the validity of the data, then they must send an email to the NTPEP Program Director and the lead state. The lead state will put the product on Hold and initiate the Review/Retest. Once the review is complete, AASHTO and the TC Chairperson will decide if the review/retest showed errors in testing. If errors were found, they will be corrected and the manufacturer will not be charged. If no errors were found, the original data will remain and the manufacturer will be charged \$500 per sample number being reviewed/retested, or the actual cost of the retest. If the test validates the manufacturer's concern the fee will be refunded in full. If the original values are validated by the test facility, the fees will be used to compensate the test facility for the additional work.

- Once the issue is resolved, the manufacturer will be given the option of releasing their data to the public or restricting the data to registered state users. At this point, the data will be moved directly from 'On Hold' Status to the final status of 'Public', or 'Restricted' based on the final decision, which will be made between the manufacturer and the TC Chair. Note: The email notifications are sent to the manufacturer representative who submitted the electronic product evaluation form through the NTPEP DataMine website. When a product is restricted, all collected data will be viewable only by registered state users and no longer available to the public.

POLICY FOR WITHDRAWING MATERIALS FROM NTPEP EVALUATIONS

If a manufacturer chooses to withdraw a product from NTPEP after it has been accepted by the NTPEP staff, they need to provide a written request (email) to the NTPEP Program Director. If adequate, the product will then be withdrawn in DataMine. If data has already been collected (including the data at the point of withdrawal) for this product, this data will then become restricted (shown in blue font, which will remain viewable to the submitting manufacturer and all registered state users). Once a product is withdrawn, no additional data will be collected or reported for the purposes of this program. A written request (email) to withdraw the Product Evaluation Form must be received by the NTPEP Program Director at least five business days before the testing is to begin in order to receive a partial refund. When a field test is performed, the beginning of testing is taken as the scheduled application date. When laboratory tests only are performed, the beginning of testing is taken as the date products are sampled or sent to the testing laboratory. A handling fee of ten (10) percent of the testing fee or \$1000, whichever is less, will be charged in this event. Testing fees will not be refunded after this deadline. Results obtained up until the time of withdrawal will only be available to registered state users.

POLICY FOR ACCESSING ARCHIVED DATA IN DATAMINE

If a manufacturer is interested in accessing archived data for a product, he or she needs to provide a written request (email) to the NTPEP Program Director. If adequate, an invoice will be generated in the amount of \$100/product and sent to the individual who requests access to the archived data. Once AASHTO receives payment for the invoice, the NTPEP Program Director will provide the archived data for each product the manufacturer is requesting.

If a registered state user is interested in accessing archived data for a product, he or she needs to provide a written request (email) to the NTPEP Program Director. If adequate, the NTPEP Program Director will provide the archived data for each product the registered state user is requesting.

Note: Archived data is not readily available to manufacturers or registered state users in DataMine. Archived data is only available to AASHTO staff. Data becomes archived once the product data “expires” under the work plan for that specific technical committee.

POLICY FOR REVIEW OF NTPEP TEST REPORTS

Industry will receive a copy of the report in draft status and asked to review the data for their product(s) for correctness. Upon receipt of results to be reviewed, any response from industry must be submitted in writing to the NTPEP TC Liaison within ten (10) working days.

Once a response is received, the NTPEP TC Liaison, TC chair, the testing state/agency and if applicable, the agency/NTPEP Designated Laboratory generating the report, will review the response to determine if an error was made. Typographical errors that are found will be corrected. When technical errors that challenge the integrity of the test data are alleged, the data will be as reported unless an investigation by the responsible testing entity confirms conclusively that a technical error occurred.

A notification will be sent by the NTPEP TC Liaison to the submitter indicating the decision within fifteen (15) working days. If the decision by the technical committee does not resolve the issue, the manufacturer may refer the disagreement to the Appeals Board. See Appendix C for the Appeals Board Process.

POLICY ON MANUFACTURER PUBLICATION OF NTPEP TEST DATA

Manufacturers may publish NTPEP data under the following conditions:

1. Only test data for the manufacturers own products may be reproduced. Manufacturers may utilize the test data on their own products as a source of independent test data. However, the data may not be used for comparative marketing purposes with those of other manufacturers.
2. Whenever NTPEP test data is used or presented, the following statement will be used.

“The preceding test data excerpts were reproduced with the permission of AASHTO, however, this does not constitute endorsement or approval of the product, material or device by AASHTO.”

Some areas in which a manufacturer may use NTPEP data are as follows:

1. To indicate that the product was tested by NTPEP in their own product bulletins and brochures;

2. Use as references on Product Evaluation Forms (PEF) required by many government agencies.

Manufacturers that misrepresent the NTPEP/UP3 process, results, or use their data inappropriately will no longer be able to participate in AASHTO programs, their products will be delisted, any funds processed by AASHTO will be forfeited, and legal action may be pursued.

POLICY ON REVIEW OF PRELIMINARY DATA BY AASHTO MEMBER DEPARTMENTS

NTPEP recognizes that AASHTO member departments may desire to review the evaluation results created by the program prior to release of the final report of those results. Such preliminary results will be released using the following procedure:

1. The member department must submit an emailed request to the NTPEP Program Director that clearly identifies the evaluation results to be released.
2. The NTPEP Program Director will notify the TC Chair, relevant testing agency and the manufacturer that such a request has been made by sending a copy of the original request to each party.
3. The NTPEP Program Director will request the relevant testing agency to release the requested evaluation results to the requesting member department and the manufacturer concurrently.

Any release of the data will be accompanied by a statement clearly indicating that the data is preliminary, has not undergone any review process and is not allowed to be distributed beyond the requesting agency.

POLICY FOR REVIEW/PUBLISHING OF AUDIT RESULTS BY NTPEP

Upon completion of an Audit, the NTPEP Auditor will review and complete the report generated during the on-site audit and reviewed with the Manufacturer at the close out meeting. When completed, the report is forwarded to the NTPEP Associate Program Manager or another NTPEP Auditor for review.

Once the report has been reviewed and any revisions finalized, the audit report and any images of markings are uploaded to the appropriate DataMine module.

If major deficiencies are noted during an audit, the facility is required to provide Corrective Action Reports detailing the action taken to correct deficient items. The NTPEP Auditor reviews the Corrective Action Reports for completeness, but not

for approval or acceptance prior to upload. Member Departments have the ability to accept or deny Corrective Action responses or request more information from manufacturers.

Comparison/conformance testing results are compiled into a single report for each product and posted on DataMine when received from the independent testing laboratory and/or manufacturer and reviewed by the NTPEP Auditor. If any test results fall outside of specification limits, a new sample may be collected for retest and a resulting Corrective Action Report. These results will be posted to DataMine along with the original results.

The responsible manufacturer representative and any AASHTO member departments that participated in the audit will be notified the audit results are available through DataMine. Once the results are posted, they are available to all member Departments (registered in DataMine) for review.

POLICY FOR CONDUCTING MANUFACTURER AUDITS OUTSIDE OF NORMAL CYCLE FOR NTPEP AUDIT PROGRAMS

If a manufacturer wants to be audited outside of the facility's designated month then the manufacturer may be responsible for covering the cost of a hotel and rental car for the auditor, in addition to the published audit fee. These fees will be referred to as the "out of sequence fees".

Once the manufacturer's application is accepted on the NTPEP DataMine website, a NTPEP Auditor will schedule the audit within 60 days.

Note: If the "out of sequence" audit is completed in March and your state is typically audited every November, you would have 2 audits that year to put your facility on the correct annual cycle.

POLICY FOR CONDUCTING ON-SITE AUDITS FOR ALL NTPEP DESIGNATED TEST LABORATORIES

AASHTO's NTPEP Program will perform an on-site Quality Assurance Testing Facility Audit at each NTPEP Designated Laboratory currently under contract for the program. The audits will be conducted every 3 years (typically within 6 months of a new contract), or after a change to the scope of testing, the purpose of which will be to review the processes utilized by the lab to complete an evaluation and report the test data in DataMine. This will serve to enhance the credibility of the testing completed at each lab as well as provide an opportunity to review and keep current with any changes made to work plans and AASHTO/ASTM standards being utilized.

Each audit will be conducted by either (1) the AASHTO NTPEP Liaison for that particular technical committee, (2) The NTPEP Program Director or Associate Program Manager for NTPEP, (3) the technical committee Chairperson or Vice-Chairperson, or (4) a contracted NTPEP Auditor for that technical committee.

The auditor shall review the following components during each audit:

- a. AASHTO/ASTM/State Specifications
 - i. Verify the laboratory is in possession of and is utilizing the most current AASHTO/ASTM/State specifications to conduct NTPEP evaluations.
- b. Training/Competency Evaluation Records
 - i. Confirm training and competency evaluation records for all technicians are current and conform to internal procedures.
- c. Equipment Records
 - i. Confirm equipment calibrations are being conducted in accordance with AASHTO R18 and SP01 (as well as specified by manufacturer) and that records are maintained for all equipment used for NTPEP testing. Each record shall contain the following details: (1) frequency of calibration (2) model & serial number (3) name of worker who completed calibration (4) identification of equipment used to perform calibration (5) date calibration was completed (6) detailed data results (7) reference to procedure used to complete calibration.
- d. How product evaluation data is managed and traceable to data uploaded to DataMine.
 - i. The Auditor shall review the process employed by the lab for data collection during testing and subsequent transfer to DataMine,
- e. Timeline for testing and reporting
 - i. The Auditor shall inquire with laboratory personnel for the timeframe from receipt of a NTPEP product through report and release of data.
- f. Review of previous evaluations
 - i. The Auditor shall review all data collected for a minimum of three NTPEP products tested within the last two calendar years. A comparison will be made to the data reported in DataMine.
- g. Demonstration of test methods
 - i. The Auditor shall observe all AASHTO/ASTM/State test methods used to complete each NTPEP evaluation. This exercise will determine if the correct equipment is being utilized and to ensure technician qualifications.
- h. Test Decks (if applicable)
 - i. The Auditor shall review the test lab's procedures for monitoring products installed on a test deck. This will include

frequency of on-site observations at the test deck and recording of measurements.

- i. Review of safety rules and regulations on test decks (if applicable)
 - i. The Auditors will review a documented procedure the state follows to ensure all individuals are safe while performing NTPEP related activities.

Each audit will include an opening and closeout meeting. During the opening meeting, the Auditor will review the agenda, which will provide an outline for conducting the on-site audit. Any safety and security concerns will also be addressed.

The closeout meeting will be held in order to review any findings or feedback from the audit. Any deficiencies or items needing resolution will also be discussed at this time. A Corrective Action Form will be provided to the laboratory personnel; a form must be filled out for each deficiency and returned to Auditor and Program Director for NTPEP within 15 business days of receipt of the final audit report. Distribution of the report will be as follows: (1) The TC Chair and Vice-Chair will receive the final QA Audit Report. (2) The TC Leadership will review any items from the QA audit with the state members of the TC, at their discretion.

POLICY FOR NTPEP CODE OF CONDUCT

If a NTPEP participant or industry representative creates a hostile work environment or behaves in a manner that is detrimental to the reputation of AASHTO's NTPEP Program, the NTPEP Program Director will work with the NTPEP Steering Committee to take appropriate action to resolve the situation.

If a NTPEP Auditor feels unsafe or threatened in the field while conducting an audit, the NTPEP Auditor has the right to leave the facility and refuse service. The Auditor would then report this situation to the NTPEP Program Director who will then take appropriate action.

Depending on the severity of these types of situations, the result may be removal from involvement/participation in the Program.

Appendix F: Introduction & Development of a New Product Category and Assessment of Existing TCs

Introduction & Development of a New Product

The NTPEP Program Director will refer proposals for development of a New Product Category to the Product Implementation Task Force (PITF). The Task Force will be comprised of one representative from each of the four AASHTO regions (at a minimum). The members of the task force will be nominated by the member states from each of the regions and confirmed by the Steering Committee.

The Product Implementation Task Force consensus recommendation for consideration of proposals will be referred to the Steering Committee and placed on the SC agenda for review. All submissions must include the expected scope of the test project accompanied by a statement of expected benefits and estimated costs. Any available documentation indicating the need for the new test project by the AASHTO members should be included. Solicitation and review are described herein:

- a. Product Implementation Task Force shall solicit state members to nominate candidates for a new product category.
- b. State members shall provide any information regarding critical need and/or return on investment for each candidate submitted and indicate degree of willingness to participate in the development of the new product category.
- c. Upon receiving candidates to be added as a new product category, AASHTO staff shall survey member states to rank level of interest.
- d. Requests from industry received by AASHTO to consider additional new product categories will also be included in the survey.
- e. Survey shall include any information provided by state members related to critical need and/or return on investment.
- f. The Product Implementation Task Force shall review results of survey and select potential new product categories for development.

The Product Implementation Task Force, assisted by AASHTO staff, shall select an AASHTO state member to lead the task of determining the feasibility and need for the new product category. Responsibilities of this task leader shall be as follows:

- a. Form initial small task force of state members (typically this group will become the TC)
- b. Contact states to determine existing methods of qualification
- c. Determine type of evaluation to be proposed by NTPEP (audit, lab and/or field evaluation)
- d. Develop NTPEP draft work plan
- e. Obtain “short list” of potential state members capable and willing to perform evaluation(s) for NTPEP
- f. Determine approximate cost of evaluation(s) – lab and field
- g. Present proposal for the new product category to the Steering Committee with recommendations of the task group. This proposal should include the draft work plan, potential testing facilities (state, university or private) and estimated costs.
- h. Upon acceptance by the Steering Committee, the proposal shall be forwarded to the NTPEP chair for approval.

NTPEP Technical Committee Assessment and Dissolution Procedure

The Assessment and Dissolution Procedure was created in an effort to maintain programs that create value to AASHTO state members and the transportation industry.

The procedure is outlined in detail below, but the general process is the following: as part of the annual program review, the NTPEP Program Director will identify programs with low level of participation from states and industry. This information will then be reviewed by the NTPEP Steering Committee during their scheduled in-person meeting of each year. Programs that receive a limited number of submissions will be placed “Under Review.” Leadership from programs under review will be notified immediately following the Steering Committee meeting to put together an Action Plan to share with the Steering Committee at the Annual Meeting. The NTPEP Product Implementation Task Force will monitor the progress of programs under review and recommend program changes to the Technical Committee. Any program under review for three consecutive years will be balloted to be “Suspended.”

To assist the NTPEP Program Director with program assessment, NTPEP staff will survey states and industry participants every 2 years for their perspective of the success/state of each program. The survey will include a request for feedback on reliability of NTPEP data as it compares to field performance.

Identify – prior to each Annual Meeting

- a. Technical Committees identified as “under review” will work with the corresponding NTPEP Liaison and industry partners to review their TC’s performance and put together an Action Plan
- b. Compare state participation and number of product submissions to previous years
- c. Consider technology changes in the product areas (current and future) and work to incorporate into Work Plan when feasible
- d. Recommendations can include:
 - i. Work Plan/scope revisions
 - ii. Outreach plan to increase participation
 - iii. Recommendation to suspend program to be put on hiatus with a outlined criteria to reactivate program

Review and Engage

- a. Technical Committee leadership to meet with SC at Annual Meeting to finalize Action Plan
- b. Work Plan revisions, skeleton program, or program suspension to be balloted by NTPEP membership and Steering Committee within 2 months after Annual Meeting and if approved, implemented within 3 months
- c. Involve other Technical Committees where merging programs is feasible
- d. Involve Technical Committee’s industry partners for input on technology trends

Post Review Procedure/Suspension Ballot – January as part of Steering Committee meeting

- a. *A TC will only undergo this last step after three years under review or if immediately recommended by TC itself to suspend. Programs that successfully fall out of under review status will not be balloted for suspension.*
- b. Based on recommendation from the Product Implementation Task Force and NTPEP Steering committee motion made to entire NTPEP membership for vote
- c. Motion made by TC Chairperson or Vice-Chairperson to the NTPEP membership (2/3 vote majority needed to pass)
 - i. Does not pass (do not suspend)
 1. Return to Under Review status
 - ii. Pass (suspend program)
 1. Notify states and industry

Appendix G: Standard Operating Procedure for Receiving and Distributing Research Proposals

Purpose: This document provides instructions on how to handle receiving a research proposal for a product that is within the scope of AASHTO NTPEP.

Procedure:

1. When NTPEP receives a research request from a state agency, or one of the NTPEP technical committees, a copy the **NTPEP Program Director** receives a copy. Research proposals shall be tracked in the *Research Proposal Tracking Table*. The NTPEP Liaison for the applicable technical committee shall be provided an email notification of the request with the following information:
 - a. Originating party contact information
 - b. The affected product
 - c. Proposed research topic details
 - d. Reasons stating why research should be conducted

If a Technical Committee is requesting a research topic, the Chair shall email the information as outlined above to its assigned NTPEP Liaison.

- 1.1. If a manufacturer wishes to request a research topic, they shall consult with the Chair and Vice Chair of the appropriate Technical Committee. Given there is no conflict with the Chair and Vice Chair, the manufacturer may present the request to the Technical Committee for further consideration.
 - 1.2. If an external third party directly presents a research topic to NTPEP, the NTPEP Liaison shall provide the research proposal to the Chair and Vice Chair of the applicable technical committee.
2. Upon receiving an initial proposal, the Chair and Vice Chair are responsible for determining next steps. Should the Chair and Vice Chair find a research topic as beneficial; the NTPEP Steering Committee will review for further deliberation. The NTPEP Steering Committee is responsible for determining how to handle each particular research.

- 2.1. If it is found the matter results in large-scale implications, and is outside of the programmatic and/or resource scope of the NTPEP Technical Committee, the research topic may be submitted for consideration by the appropriate AASHTO Subcommittee. In order to submit a research proposal to an AASHTO Subcommittee, the Technical Committee will be tasked with drafting a document describing the product and research proposal, why it is beneficial for the particular AASHTO committee to be involved, and other supporting information the committee may require in order to consider the proposal.
 - 2.1.1. The NTPEP Liaison and the **NTPEP Program Director** shall work together to determine the appropriate AASHTO committee best suited for the proposed research. The **NTPEP Program Director** shall present the research proposal with all supporting evidence to the AASHTO Subcommittee. It is at the subcommittee's discretion to accept or reject any proposal. Accepted research proposals shall be subject to further instructions from the committees. Rejected research proposals shall have no further actions taken.
- 2.2. If it is determined that the research topic is beneficial, and can be handled directly by the Technical Committee, the NTPEP Steering Committee shall request that the Chair and Vice Chair of the respective Technical Committee to submit a Plan of Action containing:
 - a. An explanation of the proposed research
 - b. How it is beneficial to the NTPEP
 - c. All available options for funding the research topic
 - d. How the technical committee plans to perform the research
- 2.2.1. The NTPEP Steering Committee will review the documentation, and in conjunction with the Chair and Vice Chair of the committee, will decide if the technical committee should continue to move forward with the proposal.
3. The NTPEP Liaison shall notify the originating party of the Technical Committee's decision and any next step(s) that will occur. If the Technical Committee found the research proposal to be unnecessary or unfit in any manner, they should present reasoning for this decision. The originating party receives all reasoning against the decision to proceed with research.. The NTPEP Liaison shall copy any decision to the **NTPEP Program Director**. Further actions taken based on a research proposal shall be mediated by the **NTPEP Program Director**. All notes shall be logged in the *Research Proposal Tracking Table*.
4. Upon completion of any research, the Technical Committee and the originating party are provided with results considered as publically available.

Appendix H: General Terms and Conditions

Applicable to All Technical Committees

1. Submission of information in the electronic Application (eAPP), required supplementary information, and test fees constitute acceptance by the product manufacturer/supplier of the Technical Committee Work Plan as the basis for testing, evaluation, or auditing of the submitted products and administration of the program through AASHTO/NTPEP.
2. All test materials shall be furnished by the manufacturer/supplier at no cost to the NTPEP or to AASHTO member departments. Sample selection and shipment will be as prescribed in the individual Technical Committee's Work Plan.
3. Manufacturer must submit an eAPP for all products or materials submitted.
4. Invoiced testing fees must be received and processed before evaluation of the product or material proceeds. The invoice payment for an on-site facility audit performed within the United States is due within 30 days of its audit completion. For an on-site facility audit performed at a location outside of the United States, the invoice payment is due upon acceptance of the application by AASHTO/NTPEP. The payment of an invoice may be completed using the following options: eCheque, Purchase Order, Wire Transfer, or Credit Card.
5. Incomplete forms and/or erroneous information provided as part of this submittal package may result in a delayed acceptance for testing.
6. A handling fee of 10% of the total testing fee will be retained if a product is withdrawn prior to the testing process. Testing fees will not be refunded once the testing process has begun.
7. A cancellation fee of 50% of the audit fee shall be assessed if a manufacturer cancels an audit after it has been scheduled.
8. All testing for NTPEP shall be performed by NTPEP Designated Laboratories under contract with AASHTO/NTPEP.
9. Once a product or audit application is submitted to NTPEP, a non-interference policy will go into effect. The NTPEP Technical Committee Liaison, or NTPEP Auditor, will be the point of contact for the manufacturer regarding submission status, testing or audit status, and appeal of results. Under no circumstances shall the manufacturer directly contact the NTPEP Designated Laboratory regarding any NTPEP related product or audit results.
10. The NTPEP Technical Committee Liaison, or NTPEP Auditor, will also be the point of contact for the NTPEP Designated Laboratories. Under no circumstances shall the NTPEP Designated Laboratory directly contact the manufacturer regarding any NTPEP related product or audit results.
11. According to NTPEP Policy and Procedures, a manufacturer/supplier may elect to withdraw their product from the test cycle with an email request to the NTPEP Technical Committee Liaison. If withdrawal is approved,

- Results obtained up until the time of withdrawal will only be available to state participants, and the manufacturer who entered the data.
12. Unauthorized removal of any products or material specimens from a NTPEP field test site shall result in being disqualified from future NTPEP product evaluations.
 13. For guaranteed consideration in a particular test cycle, the electronic Application (eAPP) must be submitted under its corresponding evaluation program through the NTPEP DataMine website. Additionally, the eAPP must be submitted no later than the product submittal deadline indicated on the NTPEP website.
 14. AASHTO member departments may use the test data obtained from NTPEP to establish approved product listings or to augment their own product approval processes.
 15. AASHTO will copyright all reports, with all rights reserved.
 16. The manufacturer/supplier is hereby notified that NTPEP reserves the right to release or distribute any of the information included in or attached to this application and the test results obtained as part of our field and laboratory test procedures to our Member Departments. This information will be used to determine appropriate Quality Assurance parameters for product evaluation when materials are supplied for use on highway contracts.
 17. AASHTO/NTPEP may use results from NTPEP evaluations to promote the program; however, this does not constitute an endorsement of the products or materials tested.
 18. The NTPEP Technical Service Program within AASHTO operates as an independent agent in service to AASHTO Member Departments of Transportation. AASHTO does not affect any force on its members for decisions regarding use of NTPEP results. Industry participants are responsible for marketing products evaluated in this program in a manner that meets the needs of their customers.
 19. Appeals by Industry Participants, in regard to test results, will be in accordance with the Appeals Process in the "NTPEP Information and Operations Guide."
 20. DataMine and its associated logo is in no way a representation of third party approval from AASHTO/NTPEP, nor does it contain a defined measurable trait associated with any information it may act as a reference toward.
 21. Policy and expectations for manufacturers/suppliers observing field evaluation impact testing of their products:
 1. Cooperate with the Test Facility Personnel and abide by their instructions
 2. Do not impede the Test Facility Personnel in their collection of test data
 3. Use of still cameras is acceptable
 4. Use of video camera is not permitted
 22. Products/Devices become the property of the Test Facility for purposes of examining physical properties at a later date. Upon release of the NTPEP

report related to the product/device, the manufacturer/supplier may submit an email for release of the product saved by the Test Facility; the manufacturer must pay for any and all costs associated with shipping and handling to return the product/device to them. The Test Facility or AASHTO/NTPEP assumes no responsibility for the condition of said device/product in removal, handling or shipping.

23. Product name changes will be reviewed by the TC Chair and Vice-chair on a case by case basis to determine if a new submission is required or if the name change can be reflected on pending reports.

Specific Terms and Conditions Applicable to Noted Individual Technical Committees

- **Unique Patented & Proprietary Products Technical Committee (UP3)**

1. Manufacturers/suppliers are required to pay the full application fee after the product is accepted for review and development of an evaluation proposal. Invoiced application fees must be received and processed before the product or material review, and evaluation proposal development, commences. Once a product review commences, the application fee is non-refundable
2. The manufacturer will receive a copy of the final evaluation proposal, including all testing, report, and associated fees, for review. The manufacturer may request additional tests (pending approval from the UP3 Council) but may not remove any tests from the proposal.
3. The product manufacturer/supplier may withdraw having the product/material tested after review of the proposal, or agree to proceed with the evaluation. The manufacturer/supplier will have thirty (30) days from receipt of proposal to make a determination. Should AASHTO not receive a decision, the product application will be deleted and all fees collected shall be non-refundable and non-transferrable. Should the manufacturer/supplier wish to resubmit the same product, it shall be considered a new submittal subject to application fee.
4. Testing fees shall be paid in full by the manufacturer prior to commencement of any testing. Testing fees will not be refunded once the test facility is in receipt of samples and testing has commenced.
5. Should a manufacturer elect to resubmit a product for which a final evaluation report was previously withdrawn, a one (1) year moratorium will be imposed prior to resubmittal and the manufacturer must provide a detailed report of substantial modifications/reformulation.

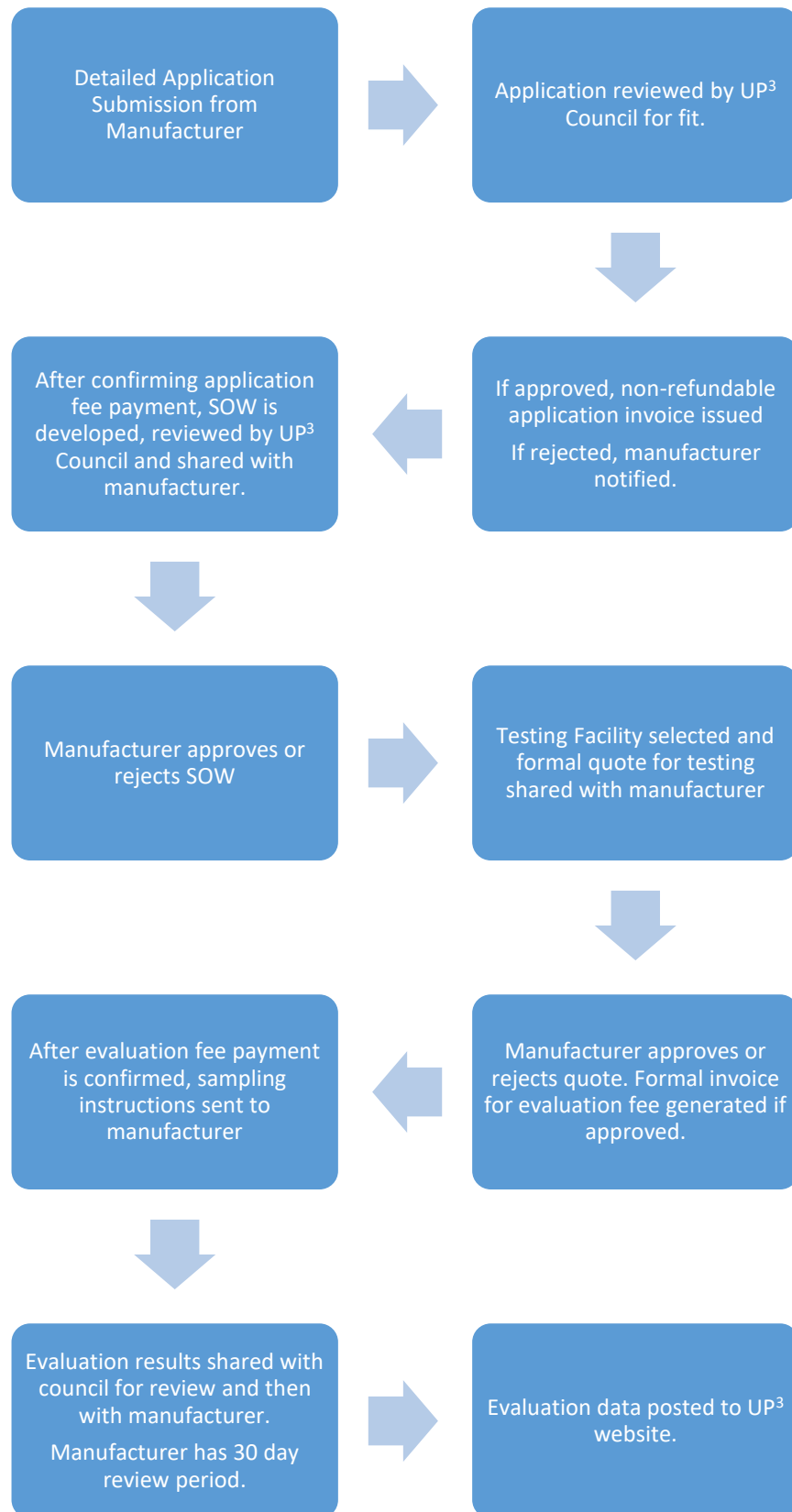
- **Erosion Control Products**

1. Manufacturers may be present during field installation of products. The test facility and NTPEP agree to provide the manufacturer with a minimum 10-day advance notice of product sample installation. The test facility and NTPEP reserve the right to delay planned installation due to inclement weather or other unforeseen variables that may result in inappropriate installation, without further scheduling accommodation for the manufacturer. Manufacturers are responsible for any associated travel costs necessary to get a NTPEP representative to the installation. Once the installation of the product is completed, no further on-site, in-person contact with the NTPEP Designated Laboratory or test facility personnel is permitted with regard to the relevant NTPEP test.
- Joint Sealants and Crack Sealers
 1. Manufacturers/Suppliers are required to install their own sealant/sealer materials on each of the field test sites on the days and times scheduled by the Test Facility. All labor and equipment for installation shall be supplied at no expense to the Test Facility or NTPEP. Traffic control will be provided by the Test Facility.
 - Portable Changeable Message Signs and Flashing Arrow Panels
 1. During the course of product evaluation, those products/devices which do not perform as specified, or are otherwise found defective during the course of evaluation procedures may not be substituted. A record will be made of any such defect; e.g., mechanical or electrical failure, computer hardware or software errors, or any other such performance defect, which may occur during the normal handling and evaluation of product/device, by the NTPEP Test Facility.
 2. Manufacturers will NOT be allowed on the testing grounds unaccompanied during the evaluation period.
 - Pavement Marking Materials
 1. Manufacturers/Suppliers are required to install their own pavement marking materials on each of the field test sites on the days and times scheduled by the Test Facility. All labor and equipment for installation shall be supplied at no expense to the Test Facility or NTPEP. Traffic control will be provided by the Test Facility.
 2. A manufacturer/supplier may elect to withdraw their product from the test cycle at any point during the field evaluation process.
 - Raised Pavement Markers/Plowable Raised Pavement Markers
 1. Manufacturers/Suppliers are required to install their own markers on each of the field test sites as scheduled by the Test Facility. All labor and equipment for installation shall be supplied at no expense

to the Test Facility or NTPEP. Traffic control will be provided by the Test Facility.

- Sign Sheeting Materials
 1. Manufacturers/Suppliers are strongly advised to have a responsible representative present at the Test Facility during the fabrication process of their test panels. The test facility will notify the Manufacture/Supplier of the scheduled application.
 2. If ink is submitted, it is particularly important that the representative be completely familiar with the ink application process. Manufacturers will be responsible for disposal of leftover ink if it is not compatible with the Test Facility waste stream. The Test Facility reserves the right to recover from the manufacturer any costs resulting from disposal or mitigation of surplus waste material.
- Temporary Traffic Control Devices
 1. Manufacturers/Suppliers are required to install their products at the field test site during the dates and times designated by the Test Facility. The plastic barrels will be supplied with a sufficient number of ballast units in the event the ballast is destroyed during impact testing, new ballast can be installed in the unit.

Appendix I: UP3 Evaluation Review Process Flowchart



Annex I: DEFINING “RETEST” REQUIREMENT AND RECOMMENDED “RE-EVALUATION”

“RETEST” REQUIREMENT

Product design may change over time as manufacturers improve their products and optimize their manufacturing processes. When a design or formulation change is made in a NTPEP listed product, the Manufacturer shall notify the NTPEP of the change and submit an application through DataMine to have this product evaluated, when the submission cycle is open for that specific technical committee.

RECOMMENDED “RE-EVALUATION”

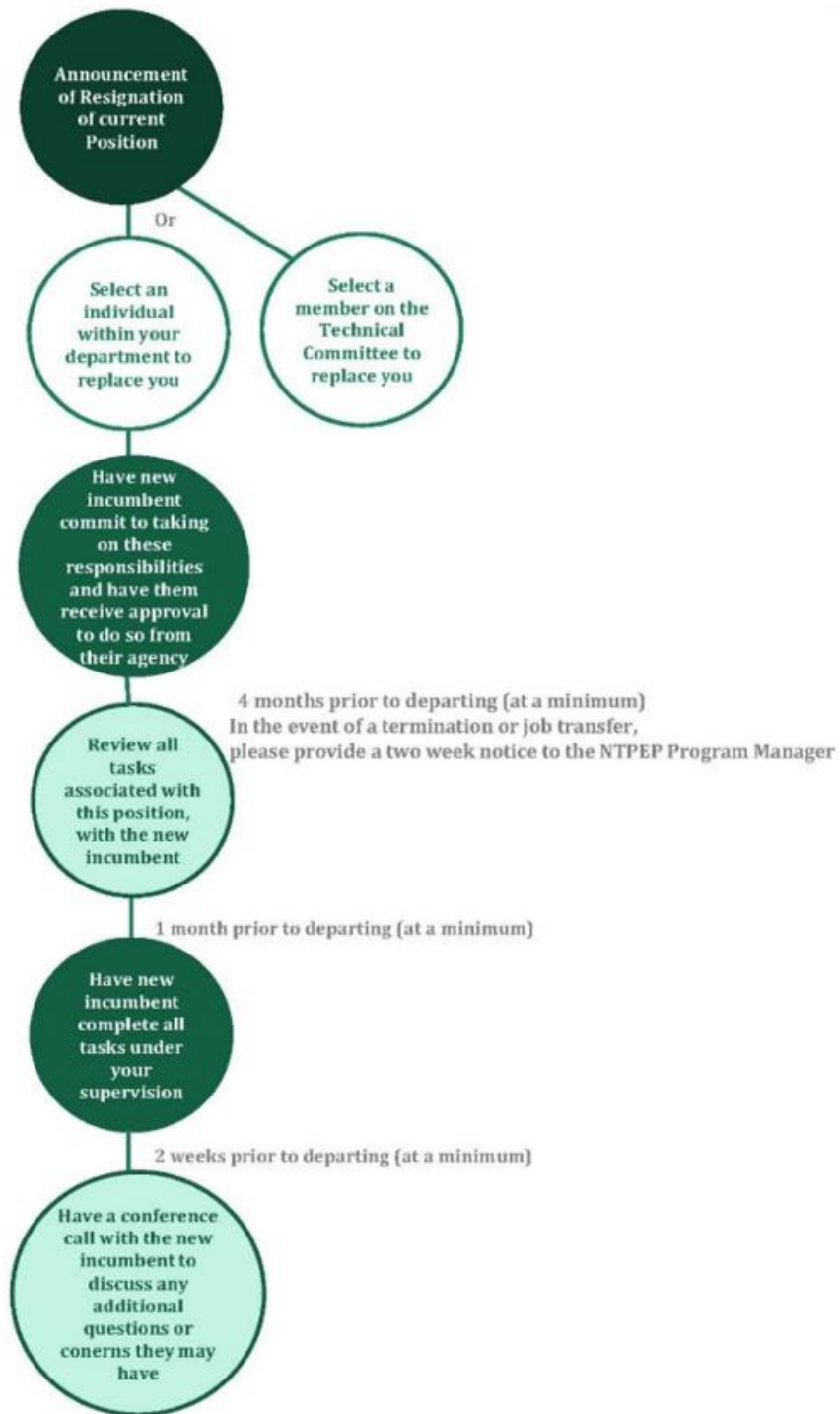
Many NTPEP technical committees include a recommended “re-evaluation” cycle. A recommended “re-evaluation” includes an evaluation being performed for a product previously evaluated through NTPEP, within the specific timeframe documented within the technical committee work plan.

Annex II: Manufacturer Request to Visit Field Site, Test Deck, or Laboratory Evaluation

1. Any manufacturer desiring to visit a field site or test deck of NTPEP shall submit an official request to the TC chair with the following information:
 - a. Specific field site or test deck location to visit
 - b. Requested date of visit
 - c. Reason visit or inspection is necessary
 - d. Detailed plans for samples (such as visual inspection, measurement, photos, etc.)
 - e. NTPEP sample numbers to be inspected
2. TC chair will discuss the request with TC members and Liaison.
3. If approved, TC chair will send request to testing state and arrange for time and date of visit.
4. Manufacturer will be financially responsible to cover any associated travel costs for a NTPEP representative, either staff or local agency representative, to be present at the time of the manufacturer’s visit.
5. Testing state will take steps to prevent manufacturer from viewing, inspecting or photographing products of other manufacturers.

Annex III: NTPEP Succession Plan

This succession plan shall be utilized when a Chairperson or Vice-Chairperson of a technical committee announces they will no longer be able to perform their current duties. Each step of the succession plan shall be completed prior to resigning from the current position. Please include the current NTPEP Liaison responsible for the specific technical committee when completing each of these items:



Annex IV: Onboarding Procedure for Technical Committee (TC) Members

When a Technical Committee experiences a change to its leadership positions (Chair, Vice-Chair), industry representatives, or the TC adds a new state member to the roster, the NTPEP Liaison will be responsible for ensuring that the new designee is prepared and understands the requirements entailed by his or her new position. It is suggested for the Technical Committee to select candidates for leadership positions whom have previous experience within NTPEP, and thus have a general knowledge of how the program works and are familiar with the product(s) the TC is evaluating/auditing. In the event that the candidate does not have a prior understanding of NTPEP as a whole, the NTPEP Liaison shall review the NTPEP Information and Operations Guide with the newly selected member in addition to the specific tasks noted below.

Technical Committee Chairperson

The Technical Committee Chairperson is expected to lead all meetings/conference calls and act as the principal contact and spokesperson for the TC. As such, he/she will need to have an in-depth technical background for the evaluation/audit of the products that the specific TC is responsible for and NTPEP as a whole. When a new Chairperson is selected, the NTPEP Liaison will schedule a meeting with the newly selected individual in order to review the expectations of the position and ensure they understand and are able to perform such duties. This shall include a review of the Technical Committee's current Work Plan and meeting minutes from the previous quarterly calls, and a discussion about any outstanding issues, challenges, ballots or task group assignments ongoing within the TC.

The NTPEP Liaison shall schedule a separate meeting with the newly elected Chair with the intent to provide a tutorial of the NTPEP DataMine system. The tutorial session shall include demonstrations on how to utilize the functions available within the TC module and how to properly review data, a discussion about the expectations on data review timelines, and a review of products currently undergoing evaluations.

Technical Committee Vice-Chairperson

The Technical Committee Vice-Chair acts as a backup for the Technical Committee Chair in the event he or she is unavailable or unable to perform his or her role within the TC. The TC Vice-Chair must equally understand the background and the current events occurring within the TC. When a new Vice-Chair is selected, the NTPEP Liaison shall schedule a meeting with the newly elected person. As noted for the Technical Committee Chair above, this meeting shall include a review of the Technical Committee's current Work Plan and meeting minutes from the previous quarterly calls, and a discussion about outstanding issues, challenges, ballots and/or task group assignments ongoing

within the TC. Additionally, the NTPEP Liaison shall provide the new Vice-Chair with a tutorial of the NTPEP DataMine system. This tutorial shall include a review of products currently undergoing evaluations and timelines, recently completed product evaluations, a general overview of how data is entered and stored within the module, and a discussion and demonstration of Lead State data review. If available, the NTPEP Liaison should include the sitting Technical Committee Chair in this meeting to help facilitate the onboarding process of the new Vice-Chair.

Technical Committee Member

When a new Technical Committee member is added to a TC, the NTPEP Liaison will reach out to the new member and provide them with a copy of the current Work Plan, and the most recent set of quarterly call meeting minutes. The NTPEP Liaison shall inform the member of any on-going action items, task forces, how to submit a response to a TC ballot, and any other items that are of particular interest at the time of the new member joining the TC. The NTPEP Liaison will also offer to facilitate a meeting between the new member and the Technical Committee leadership (Chairperson/Vice-Chairperson) in order to brief the new member on the standard operations of the TC. **Technical Committee**

Industry Representative

A Technical Committee Industry Representative is expected to act as the voice for all manufacturers participating within the NTPEP TC. They must coordinate with other manufacturers to present the TC members with any issues or concerns within the industry. Equally, they are also expected to disseminate information from TC meetings to all other manufacturers as directed by the TC. When a new Industry Representative is selected, the NTPEP Liaison shall meet with that representative to discuss the current action items of the TC. During this meeting, the NTPEP Liaison shall review the current Work Plan, recent TC quarterly call minutes, and any industry concerns for which the TC is currently aware. The NTPEP Liaison shall ensure that the Technical Committee Industry Representative understands that they must act as a representative of all manufacturers rather than as a representative for only their own employer. Additionally, the NTPEP Liaison will provide the Industry Representative with a contact list of all manufacturers currently involved with the TC and the contact information for the Technical Committee Chair and Vice-Chair.

Annex V: Process for Implementing Product Category Suggestions

- New product suggestions may be brought to the NTPEP “Product Implementation Task Force” Liaison by member states or industry.

The following information/justification should accompany the submitted suggestion:

1. Describe the need for adding this product category.
 2. How would the member states benefit from adding this product category?
 3. What is the proposed scope of evaluation/audit for this product category? Lab testing, audit, field trial?
 4. What is the anticipated level of state usage?
 5. What is the anticipated level of industry participation?
 6. Additionally, industry suggestions must be accompanied by evidence that member states are interested in adding this product category.
 7. It is expected that the state or industry submitting the product category suggestion will provide a subject matter expert to participate in the development of the evaluation and/or audit and become a participating member of the resulting technical committee
- Periodically, NTPEP will survey member states to solicit suggestions for new categories. The frequency of soliciting suggestions may be varied depending on availability of resources. This will be a responsibility of the NPITF
 - New product category suggestions are referred to the PITF

Product Implementation Task Force (NPITF) responsibilities

- With the assistance of NTPEP staff, survey member states to establish the level of interest.
 - Need at least 10 states who express intent to require the results of the new product category. Those states should provide the name of the individual who will be the “champion” of using the new product category
 - Additional info to be gathered by survey includes currently specified test methods and whether or not a field trial is needed. This info will be considered during work plan development.

- Within the survey, ask the states how they would go about requiring their state to utilize this data
- Develop a brief “Goal Statement” that will be the guidance document for the design of the product category. This statement will be based on the state survey. The “Goal Statement” will also be the benchmark for measuring success of the product category after development. This document will describe:
 - What will be accomplished by providing this product category?
 - What product types will be included? (examples: Those products meeting AASHTO, or products intended to be used for...).
 - Deliverables (example: This program will provide test results that can be used to verify compliance with AASHTO ... or this evaluation will provide a field trial intended to evaluate the product under winter maintenance conditions)
 - The “Goal Statement” will be posted on the TC homepage and stated in the work plan.
- Form a “Product Category” work group. This work group will typically become the product category “Technical Committee” upon Steering Committee approval of the product category. The work group will work under the guidance of the PITF.
 - Members of this work group would typically be from those states who expressed intent to use the product category and any interested industry members
 - If the product category will be added to an existing technical committee, then that technical committee will serve as the “product category” work group.
- The work group shall develop a draft work plan based on the “Goal Statement” and the needs of the member states. Additional information will be taken from the survey of interest and from input solicited from state subject matter experts. Input may also be solicited from industry.
- Based on the survey, the apparent feasibility of providing value to the member states, and any additional information gathered (cost estimates, possible testing labs, anticipated manufacturer participation), the PITF will provide a recommendation to the Steering Committee as to whether or not the product category should become part of the NTPEP program. The recommendation will be supported by the information that was used to derive that recommendation.

Steering Committee

The Steering Committee will review the information provided by the PITF and decide whether or not to accept the new product category.

NTPEP will retain any information, including the survey of interest, the “Goal Statement”, and other relevant materials as permanent documentation related to the new product category. This information may be used as a basis for performing periodic “wellness checks” of the product category.

Technical Committee (TC)

Once approved, the “Product Category” work group will typically become a “Technical Committee”. The TC will continue development and implement the product category including the following tasks:

- Ballot draft work plan to member states.
- Balloted work plan is edited if necessary and then accepted.
- Test facilities are solicited for proposals with assistance from NTPEP staff
- Work with NTPEP staff to design a DataMine module
- Review test facility proposals and select a facility for contracting.
- Acceptance of 1st round of product submittals
- Develop User Guide
- Completion of 1st round of product evaluations and/or facility audits
- If at some point there is need to deviate from the “Goal Statement” for the product category, a revised statement will be reviewed and accepted by the steering committee.

New Product Development Checklist

Task	Responsible Group	Target Completion	Completed Date
Product suggestion received by the PTF	PITF		
Survey of Interest	*PITF		
Develop “Goal Statement”	PITF		
Form Product Category work group	*PITF		
Develop a draft work plan	Product Category work group		
Gather information re: cost estimates, possible testing labs, anticipated manufacturer participation, anticipated state usage.	*Product Category work group		
Develop a recommendation for implementation for presentation to the steering committee	PITF		

Review/Acceptance/Approval	Steering Committee		
Ballot draft work plan to member states	*TC		
Edit and finalize work plan	TC		
Solicit test facility proposals	*TC		
DataMine module design	*TC		
Review test facility proposals and select a facility for contracting	TC		
Promote state use of this product category	TC		
Solicit 1 st round of product submittals or facility audits	*TC		
Develop User's Guide	TC		
Completion of 1 st round of product evaluations or facility audits	TC		

* With assistance from NTPEP staff

Annex VI: Procedure for Completing Wellness Checks for Technical Committees

NTPEP staff will conduct periodic reviews (Wellness Checks) of product categories that are fully developed and operating. The intent is to evaluate the overall “health” of the product category by reviewing the level of use by member states, the value provided to the states, and the continued viability and relevance of the given product category.

The NTPEP Program Director will select product categories for “Wellness Checks” based on a low level of use by member states, a low level of product submittals, or other indications that investigation would be beneficial to the goals of the product category. The Steering Committee may also recommend product categories for wellness checks. Benchmark for Wellness Check

- The proposed benchmark for evaluating a product category is the “Goal Statement” that is to be written during development of the product category.
- If a “Goal Statement” doesn’t exist for the product category, (as in existing TCs), then the TC will be asked to develop a statement describing the currently understood goals, the included product types, and current deliverables.
- In case of a TC with more than one product category, (example JS/CS), a goal statement for each is needed.
- If product category goals change, a revised “Goal Statement” will be submitted to the steering committee for approval.

Wellness Check

Survey

- How many states are using NTPEP for the product category?
- How are the states using NTPEP for the product category? (required, allowed, supplemental info, other)
- Is usage trending up or down?
- Is usage limited to a certain region of the country?

Interviews with member states

- Interview TC officers and members
- Contact those states using NTPEP for the product category and those who aren't.
- If NTPEP is not used, why? Is there something missing from the evaluation/audit?
- Do member needs align with the "Goal Statement"? Have needs shifted?
- What's working, what isn't?

Review of product/facility submittal history

- Review trends in the number of product submittals
- Review trends in manufacturers who are submitting products/facilities
- Determine if products are being resubmitted as specified in the work plan?
- Determine what percentage of industry is participating.
- Determine if industry participation is regional

Interview test facility

- Identify any issues related to testing, DataMine, or others.

Survey industry

- The survey shall be based on targeted questions that are specific to the product category.

Steering Committee review

- Product category reviews at the annual meeting or spread out through quarterly meetings
- Information gathered in the Wellness Check process is shared with the appropriate technical committee.

Wellness Check Checklist

Task	Target Date	Completed
Wellness Check is assigned by the NTPEP Program Director		
Gather product category documentation (Goal Statement, etc)		
Survey states regarding product category use		
Interviews with member states		
Review of product/facility submittal history		
Interview test facility		
Develop survey questions for industry with help from TC officers		

Compile data and develop summary document		
Steering committee review and decisions for any further action		